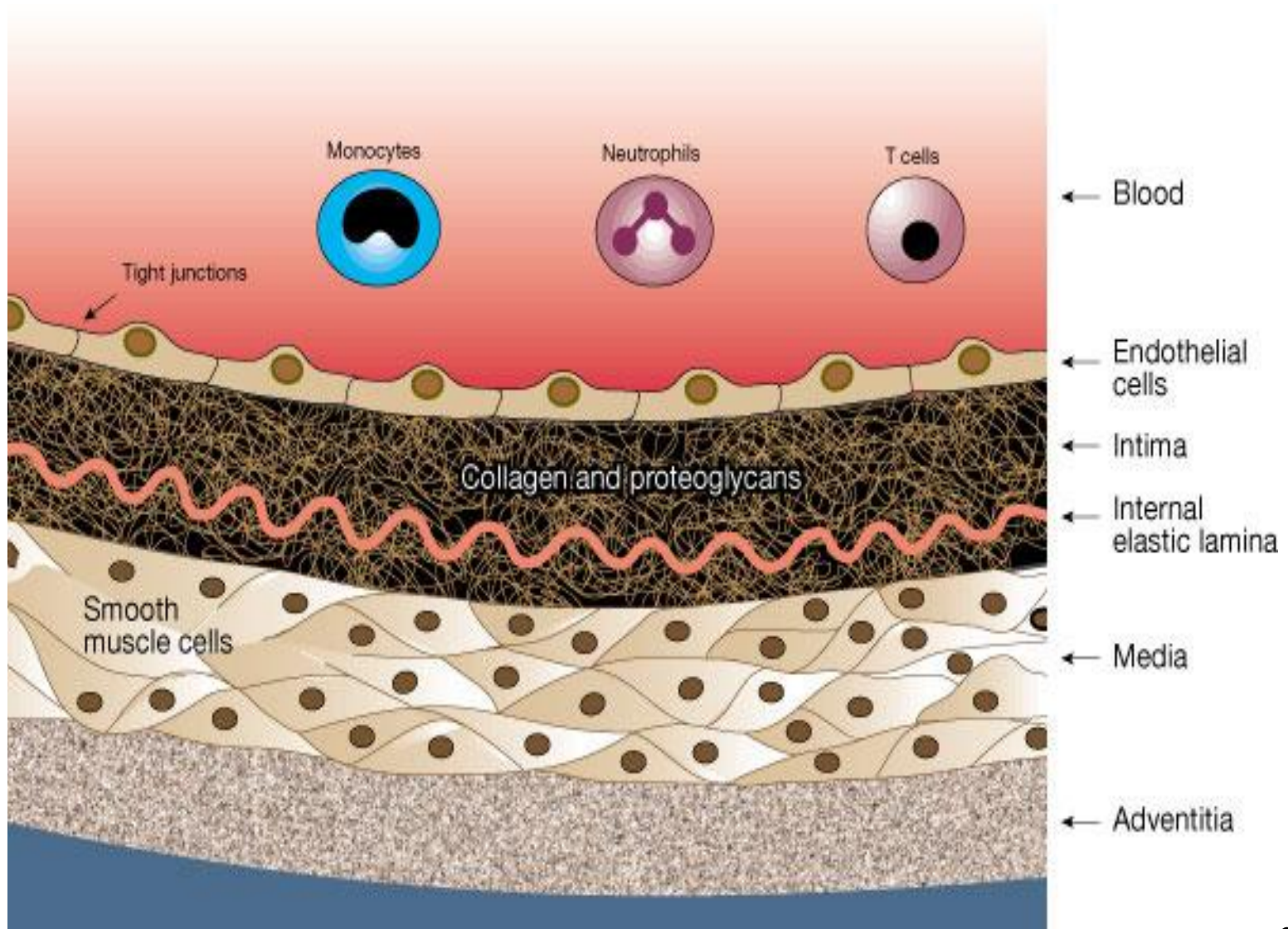


# Lecture 11

## Gene therapy of cardiovascular diseases

20.12.2011

# Structure of a normal large artery



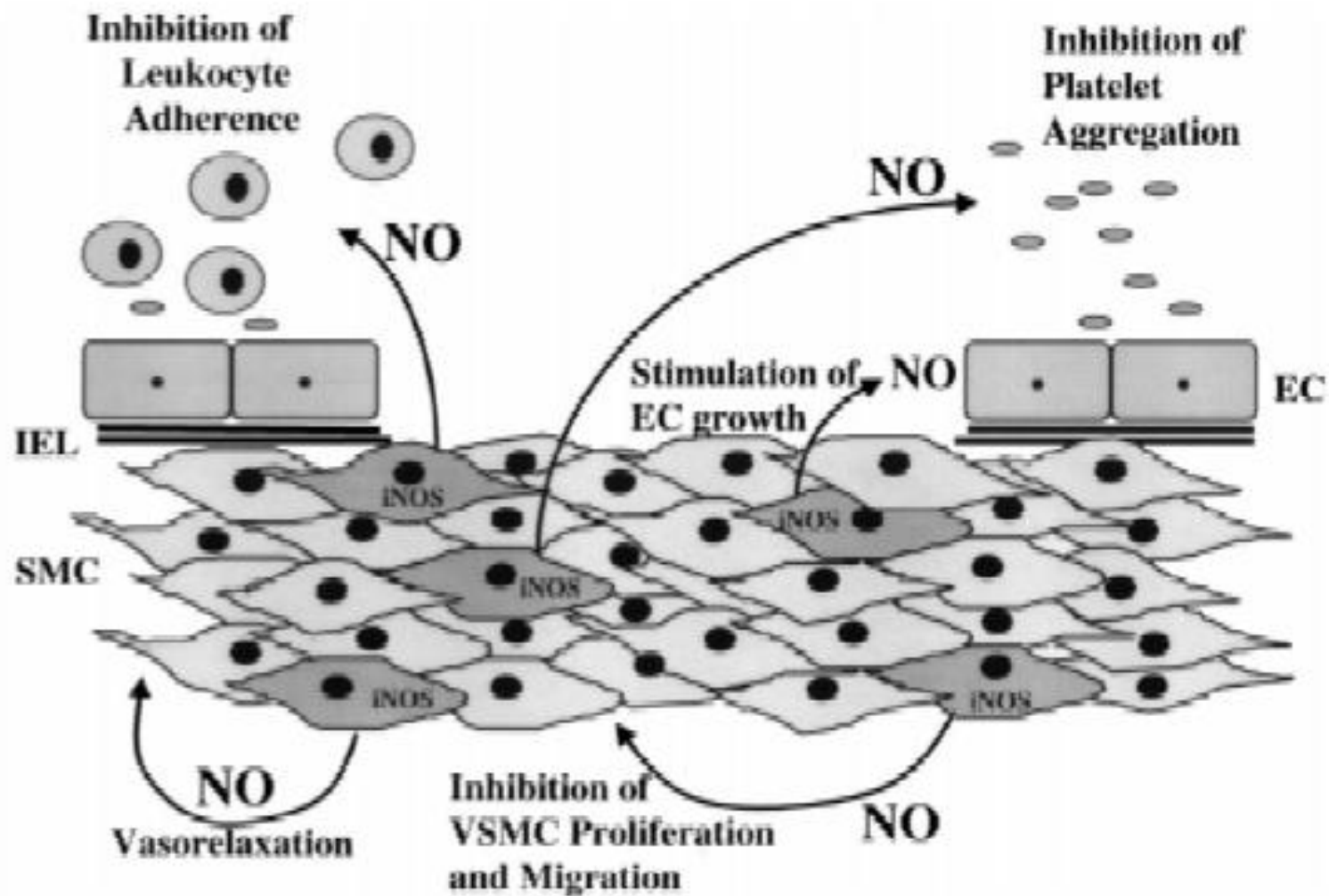
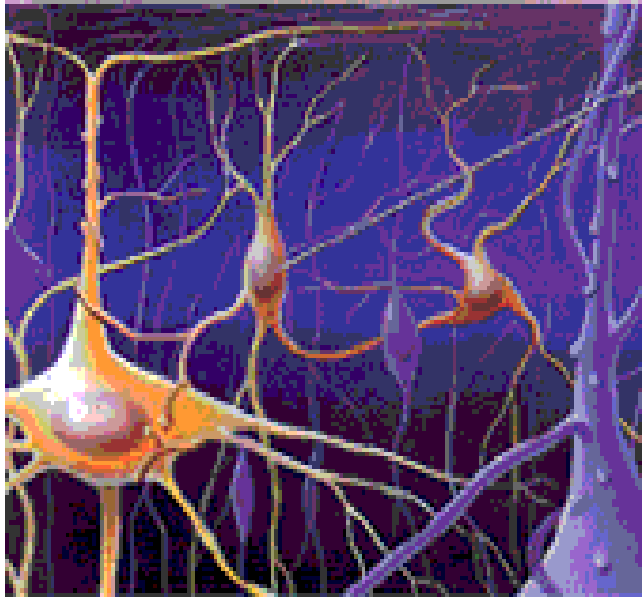


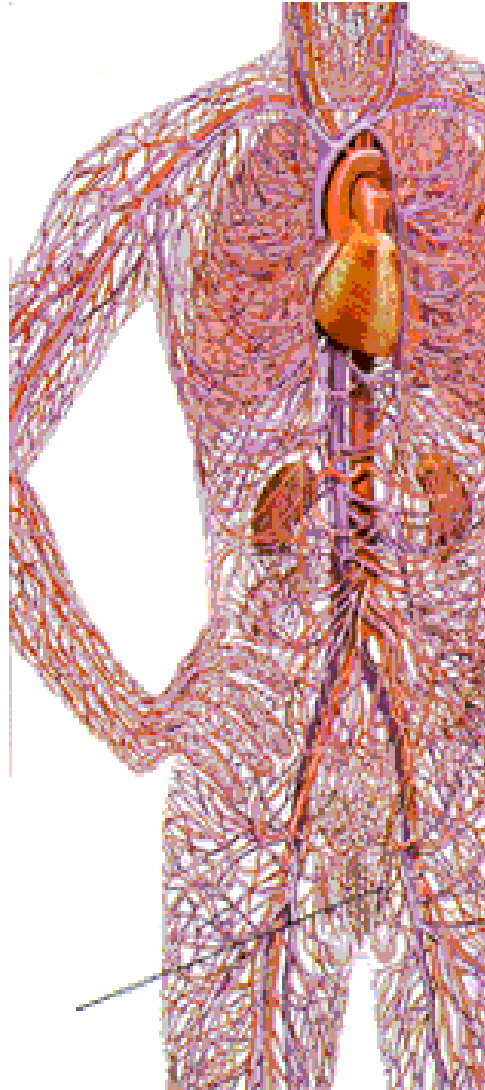
Fig. 1. The vasoprotective effects of nitric oxide. Nitric oxide (NO) production at the site of injury can inhibit platelet aggregation and adherence, leukocyte adherence, vascular smooth muscle cell (VSMC) proliferation, and VSMC migration. Additionally, NO can stimulate endothelial cell proliferation and protect the endothelial cells from apoptosis. These properties of NO favor reestablishment of a normal vascular environment following arterial injury.



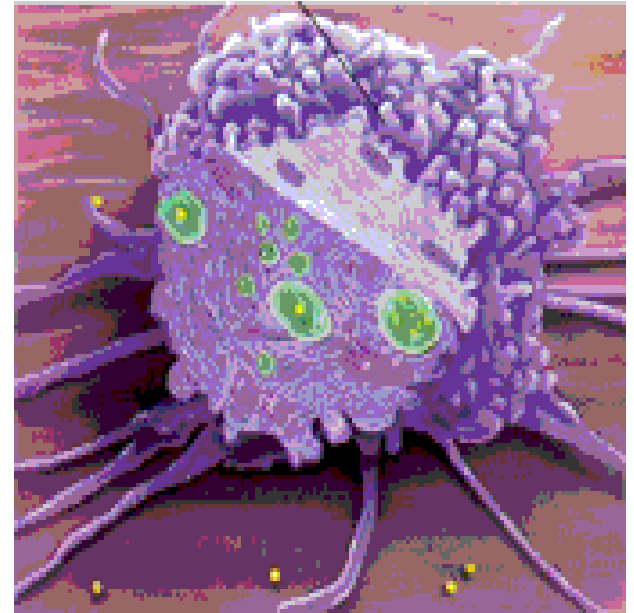
# Nitric oxide is ubiquitous



**Nervous system**



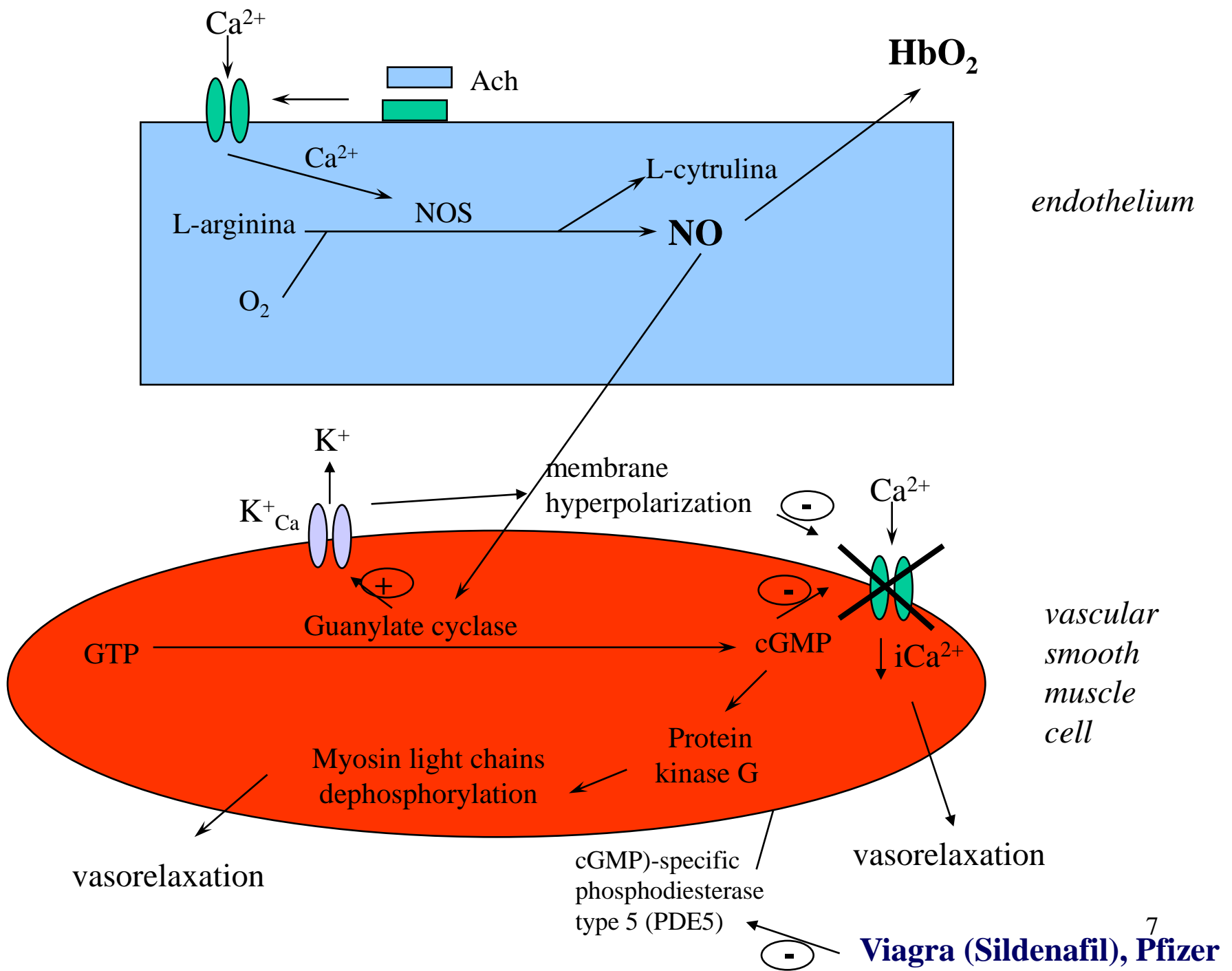
**cardiovascular system**



**defense system**

# Nitric oxide synthases

eNOS - endothelial (constitutive) NOS (NOS III)  
nNOS - neuronal (constitutive) NOS (NOS I)  
iNOS - inducible (NOS II)



# Vascular protective effects of nitric oxide

vasorelaxation

inhibition of proliferation of vascular smooth muscle cells

inhibition of platelet adhesion and aggregation

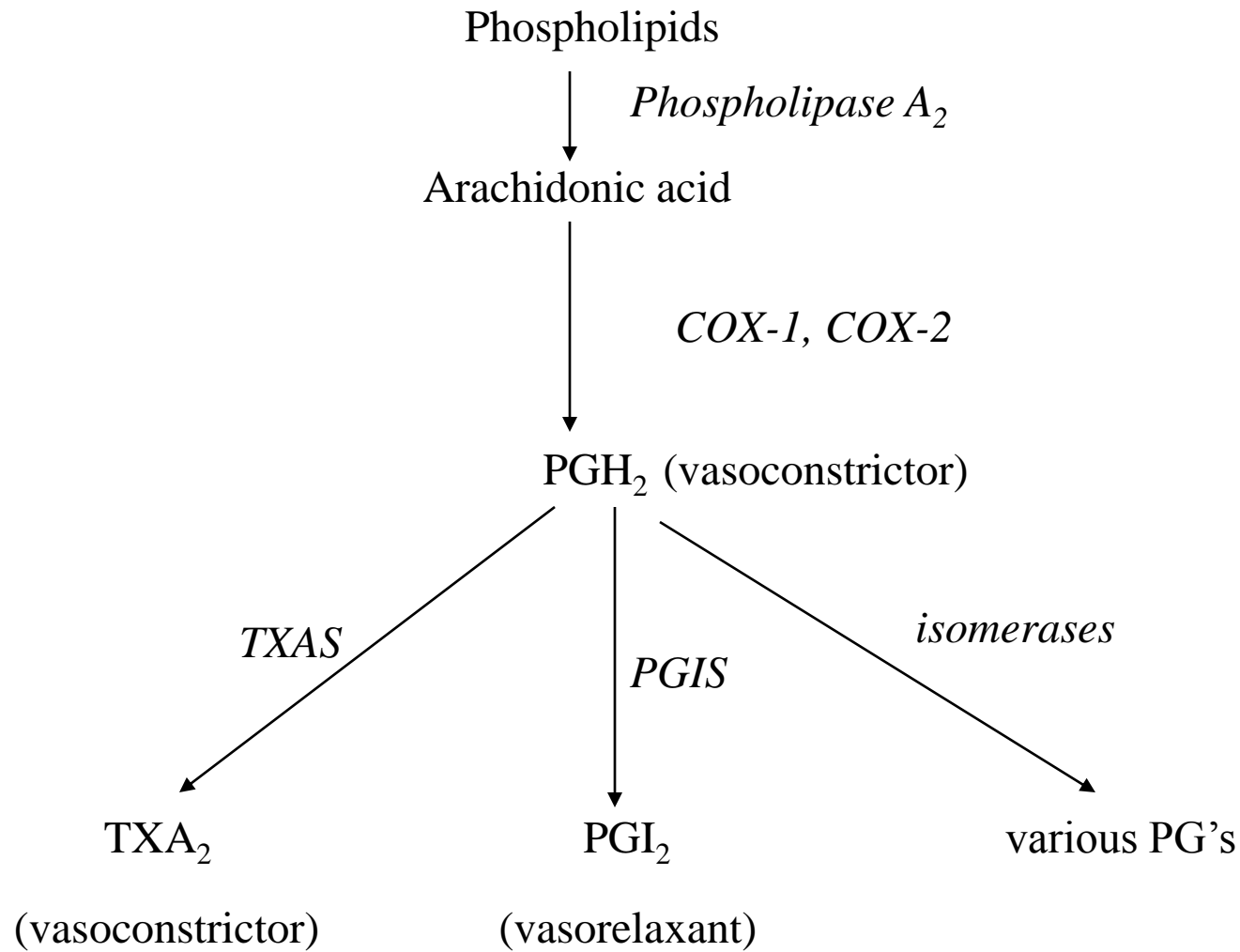
inhibition of monocyte adherence

enhancement of endothelial cell survival and proliferation

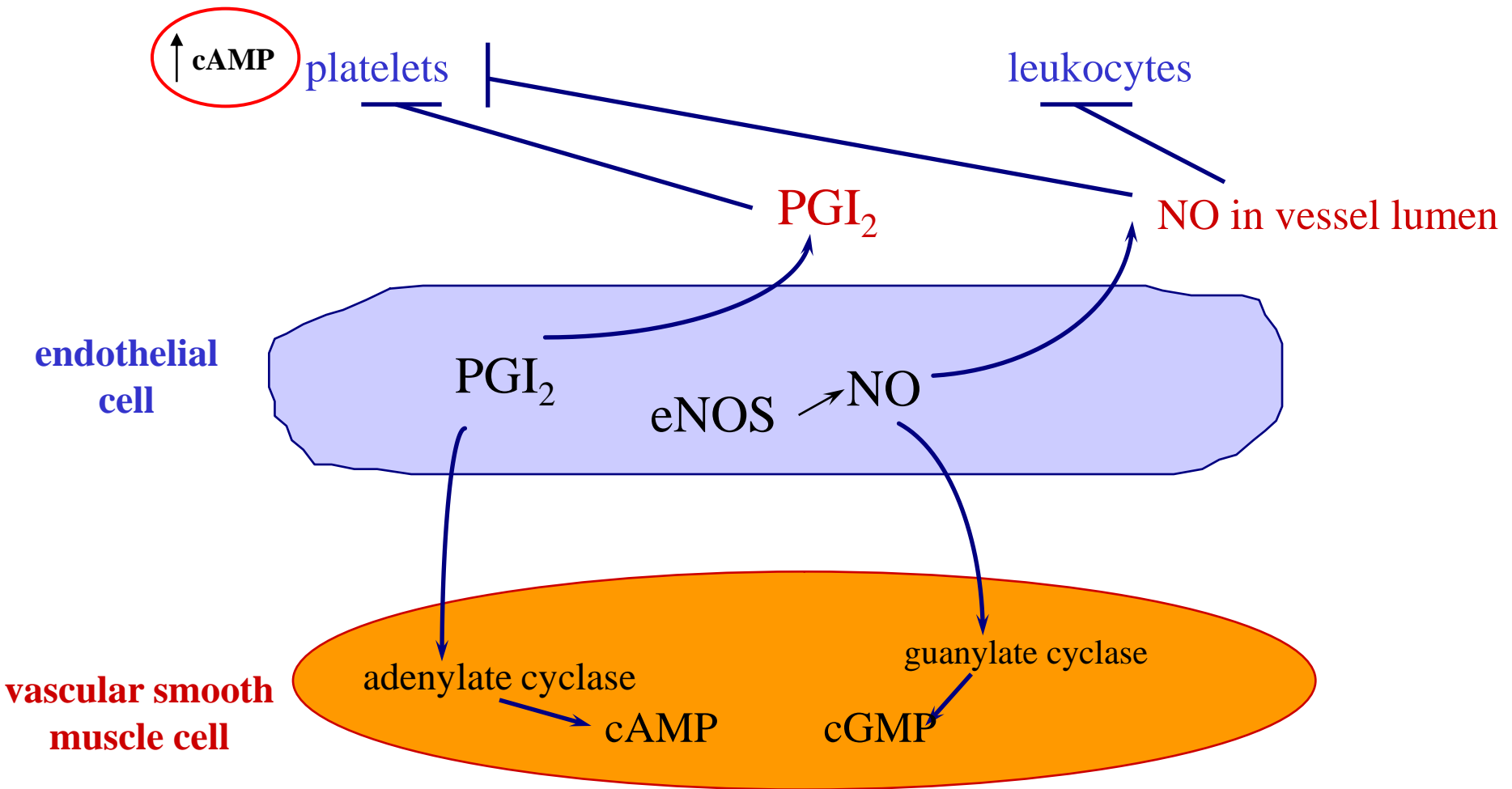
*Actions of PGI<sub>2</sub> are quite similar*



# Prostaglandin H synthase pathway



# ROLE OF NITRIC OXIDE AND PROSTACYCLIN IN CARDIOVASCULAR SYSTEM



# **Discovery of nitric oxide**

Robert Furchgot

Ferrid Murad

Luis Ignarro

***Nobel prize - 1998***

## **Prof. Ryszard J Gryglewski**

Discovery of prostacyclin (1976)  
(together with: Salvador Moncada)

First human application of prostacycline:  
Prof.. Ryszard Gryglewski & Andrzej Szczeklik - 1977<sub>11</sub>

# VASCULAR ENDOTHELIUM

## Normal endothelium

- permanent release of NO, PGI<sub>2</sub>
- antithrombotic properties
- control VSMC relaxation and proliferation

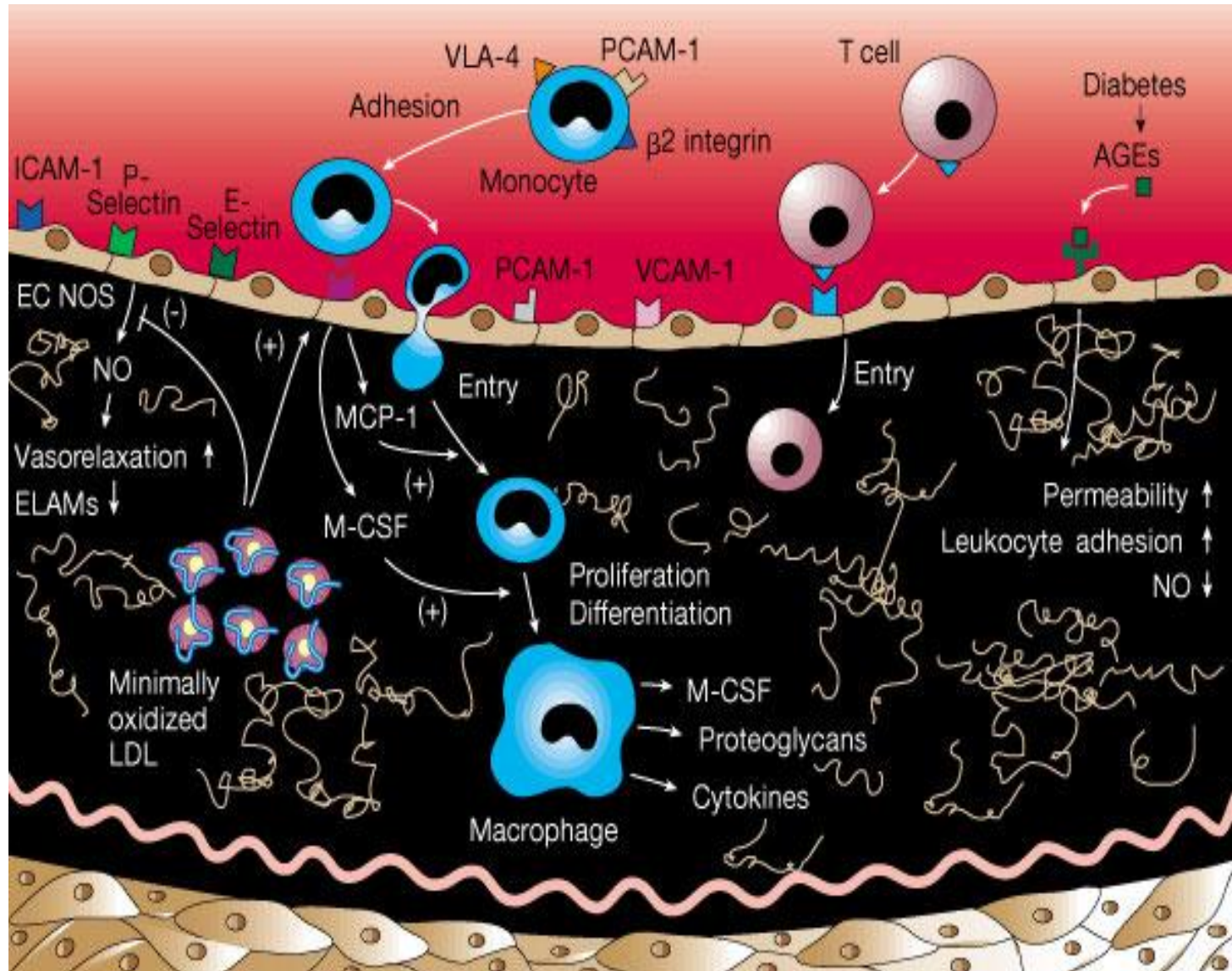
## Dysfunctional endothelium

- impaired NO and PGI<sub>2</sub> synthesis
- inefficient prevention of thrombosis and VSMC proliferation

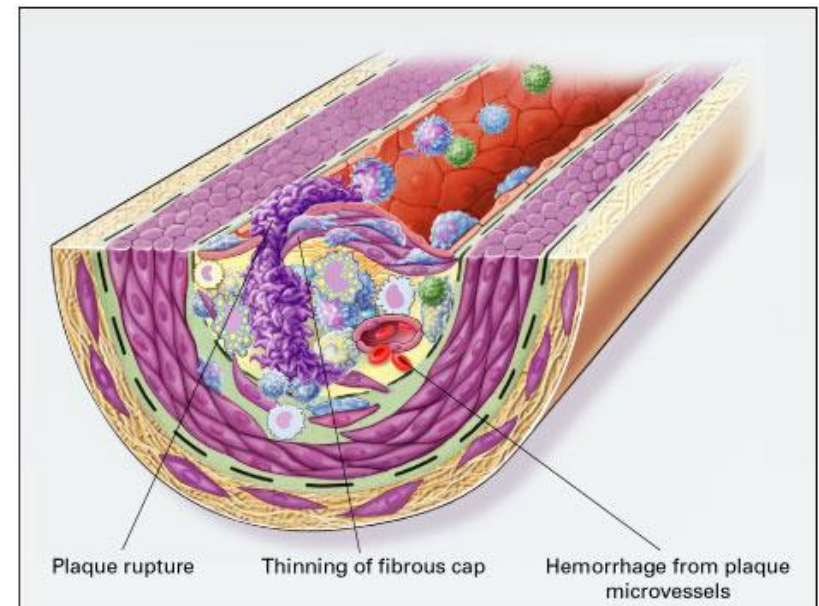
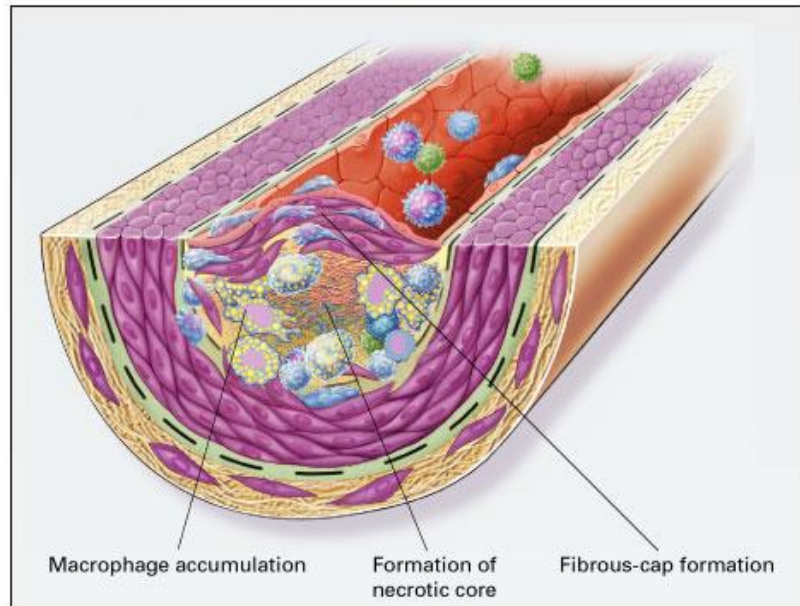
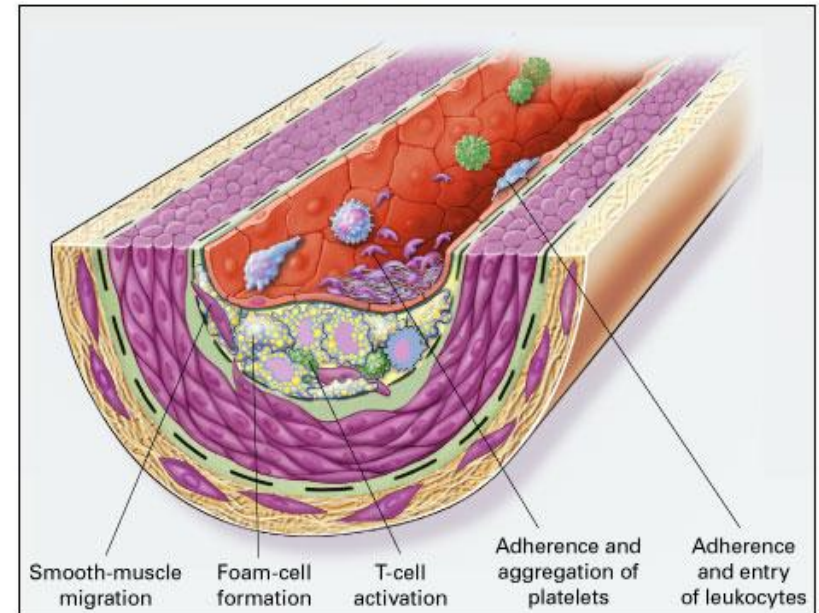
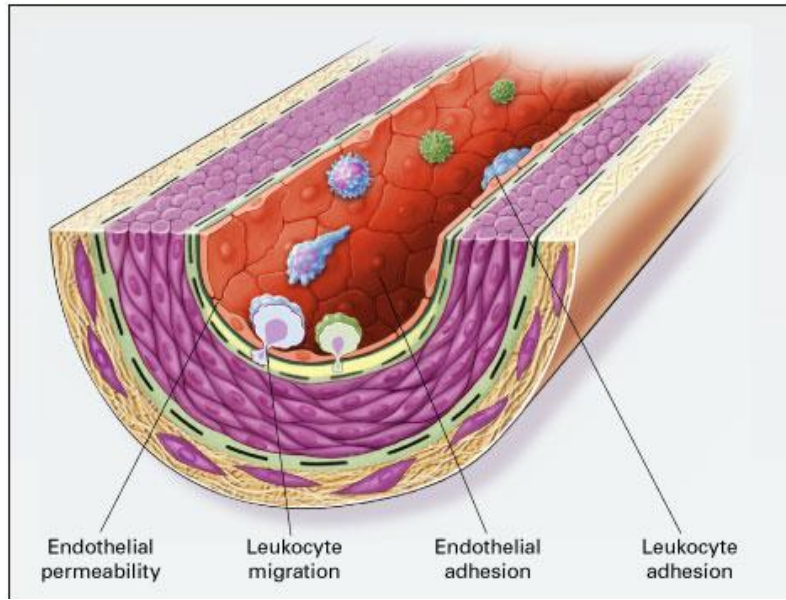


development of atherosclerotic plaque

# Development of inflammation in the arterial wall initiates atherosclerosis

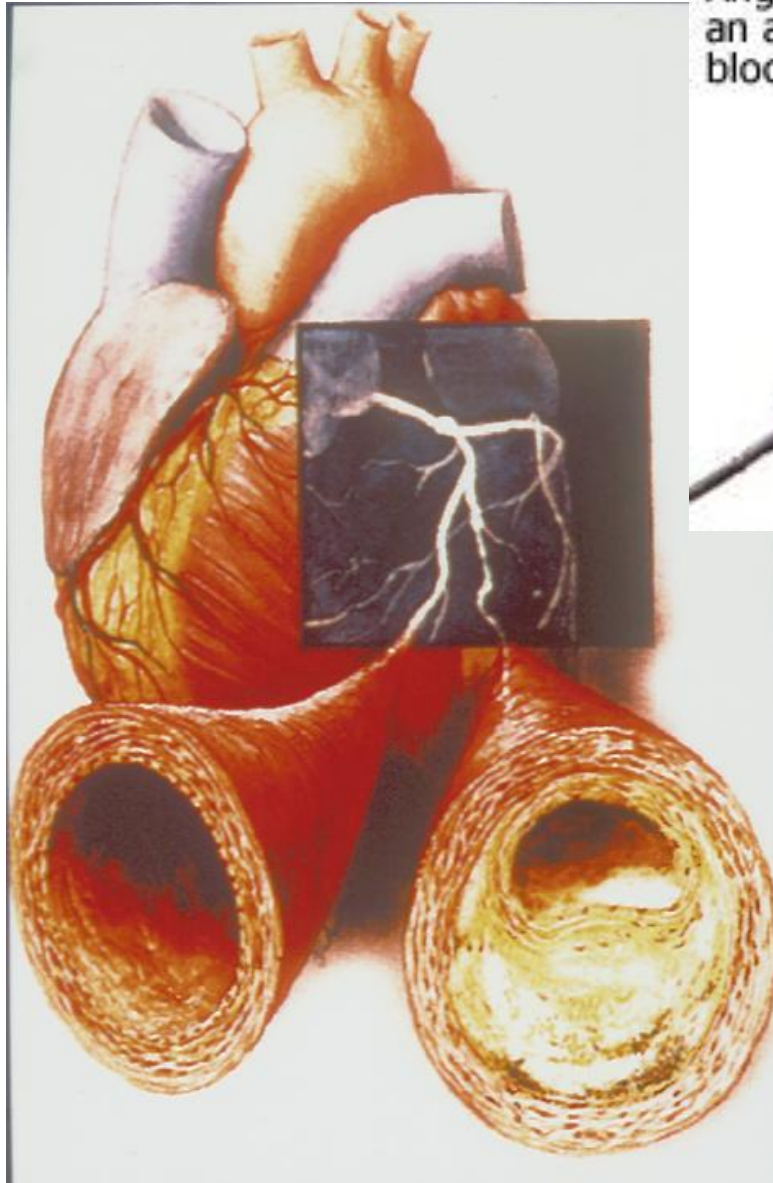


# Progression of atherosclerosis

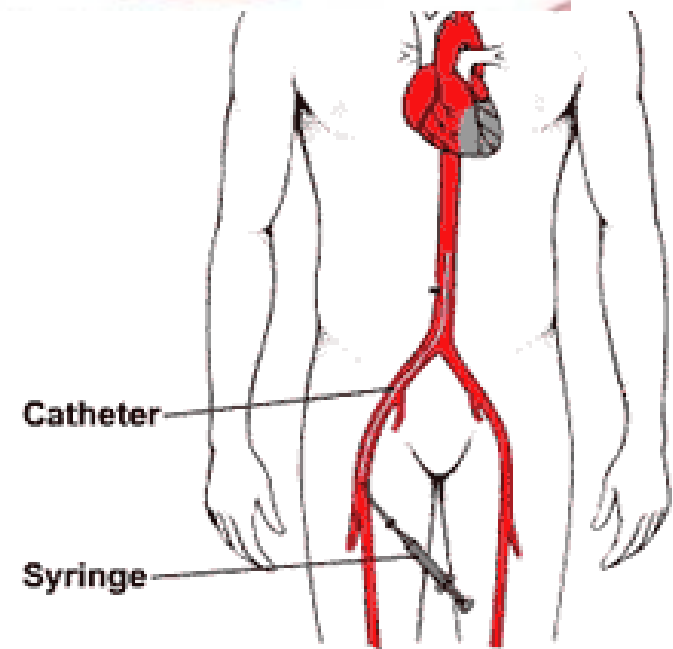
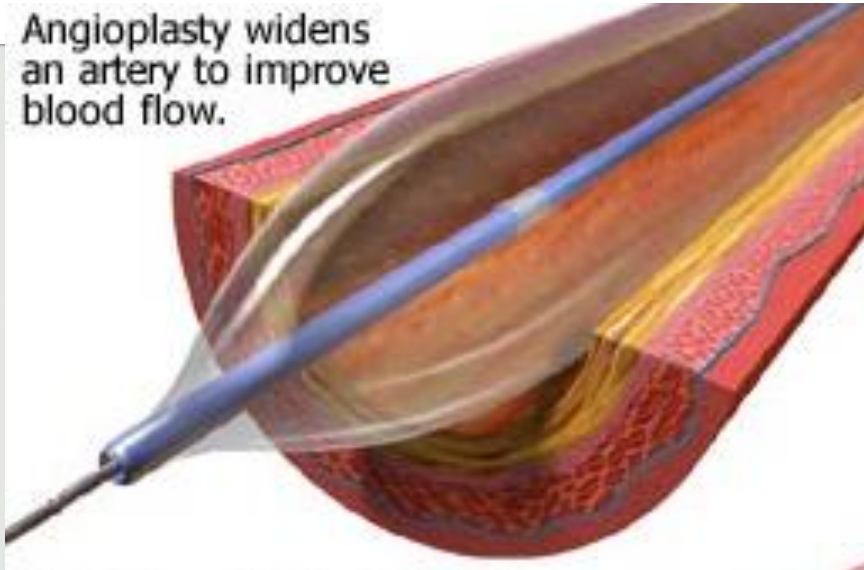


# Balloon angioplasty for prevention of vessel narrowing

## PTCA (Percutaneous Transluminal Coronary Angioplasty)



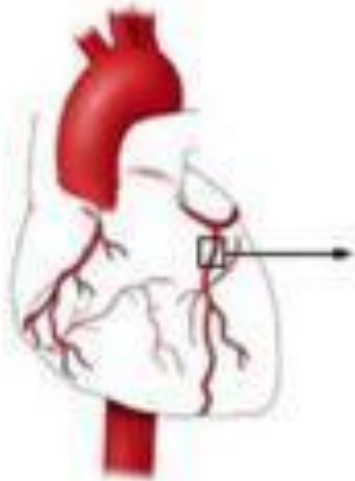
Angioplasty widens an artery to improve blood flow.



# Treatment of Atherosclerosis

## *STENT placement*

**Heart with  
coronary  
arteries**



**Crimped stent  
around balloon  
at site of lesion**



**Inflation of  
balloon**



**Stent in  
position**

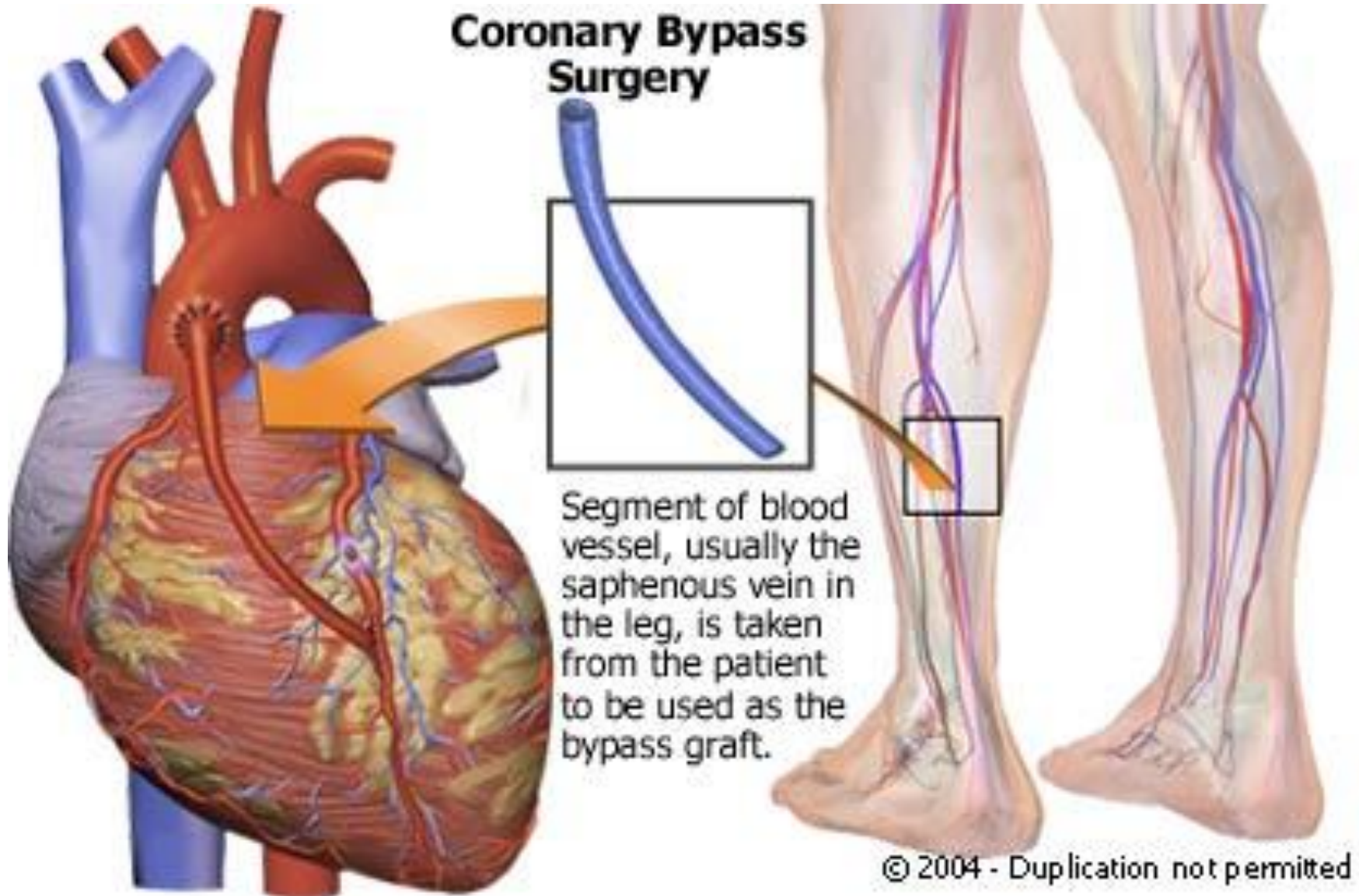


**In-stent  
restenosis**

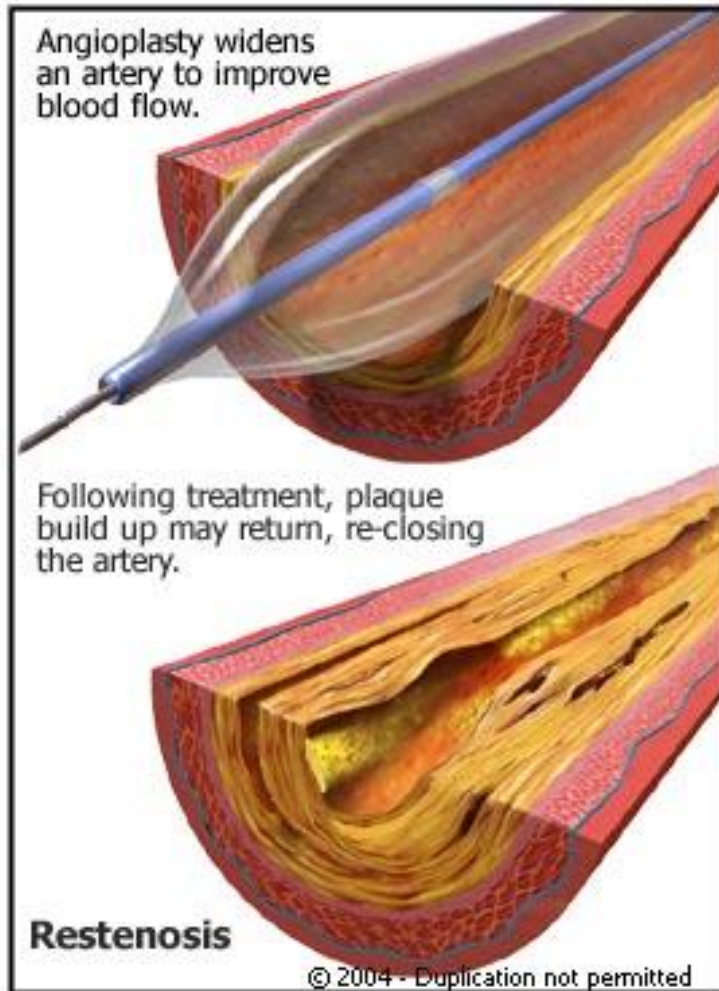




# Coronary bypass grafting (CABG)



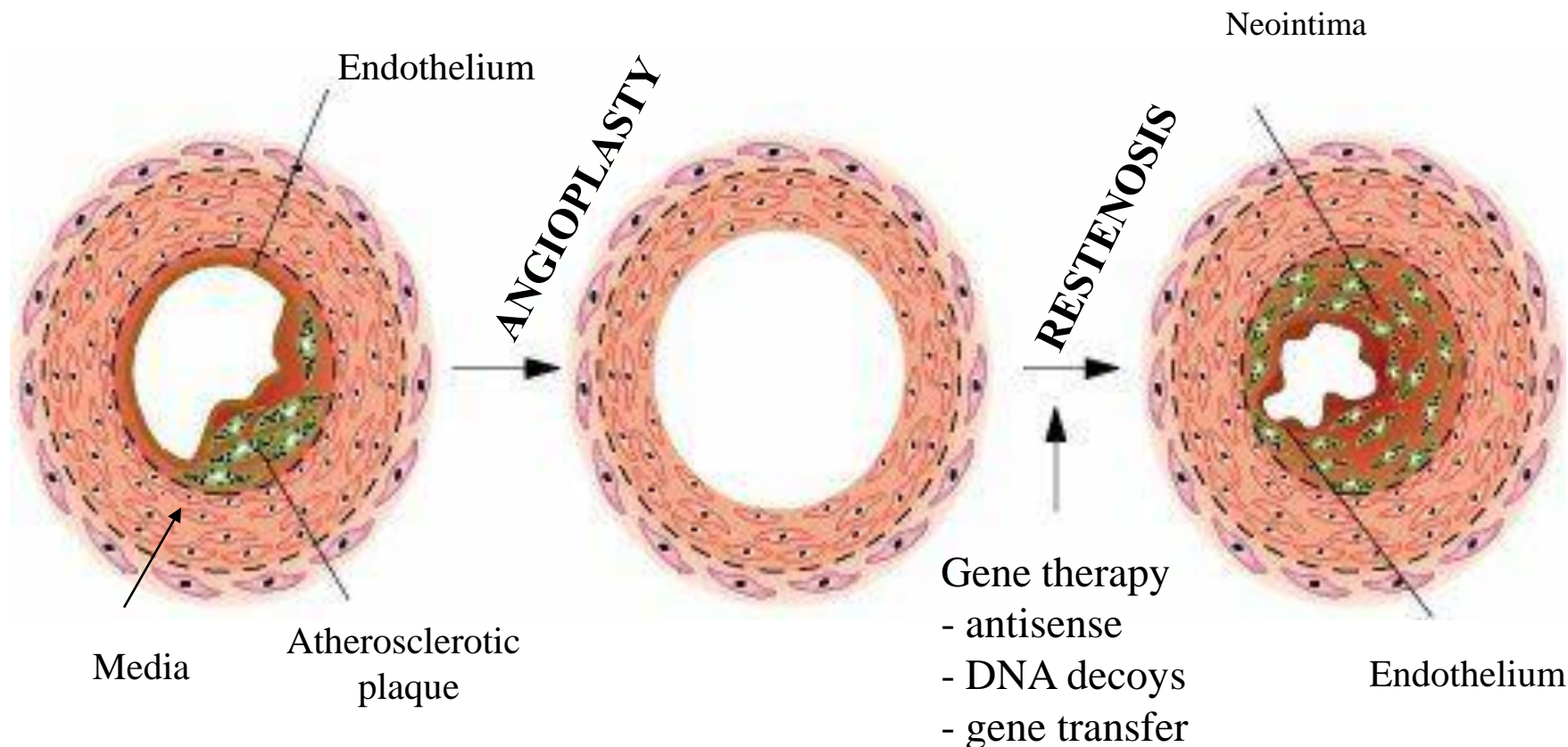
# Narrowing of blood vessels after angioplasty or CABG



*narrowing occurs also in vessels  
used for by-pass grafting*  
**- STENOSIS**

**RESTENOSIS**

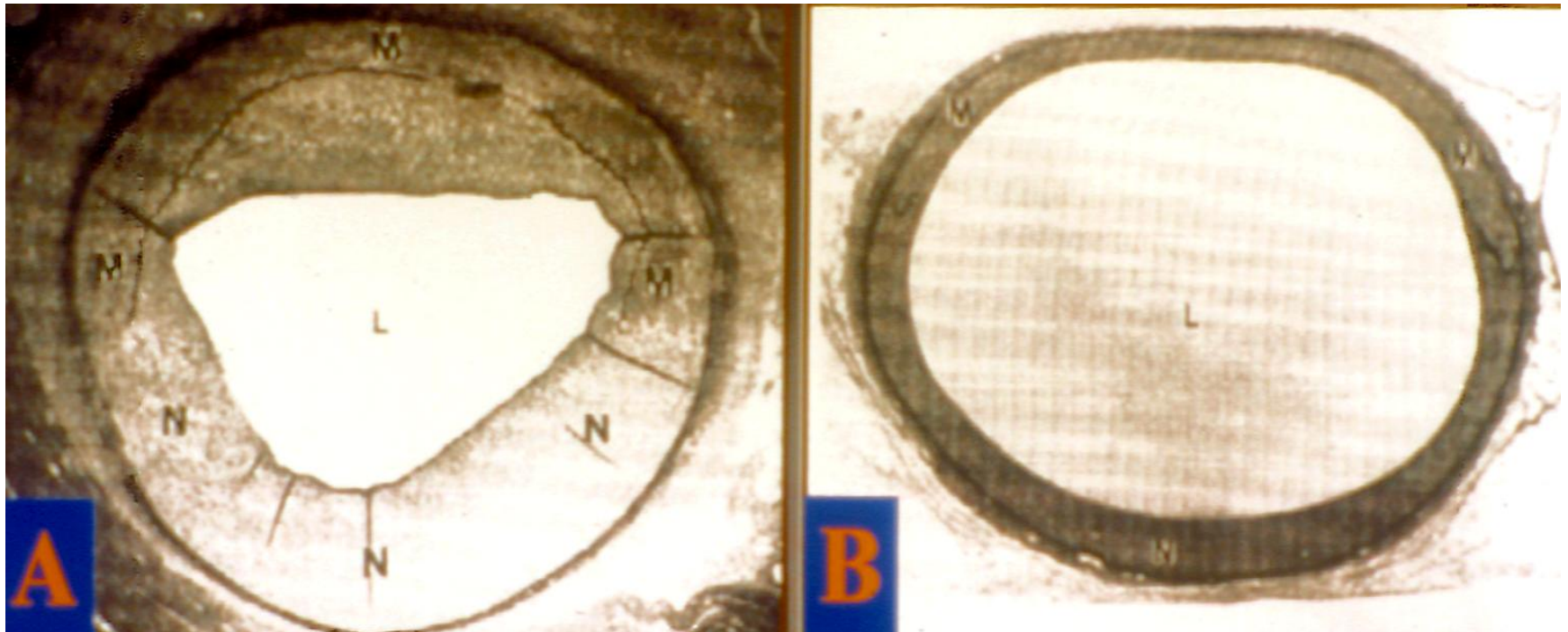
# Gene therapy for treatment of neointima formation after balloon angioplasty



# **Gene therapy by overexpression of nitric oxide synthase**

# Transfer of endothelial nitric oxide synthase inhibits neointima formation in injured rat carotid artery

*Vector: plasmid vector*



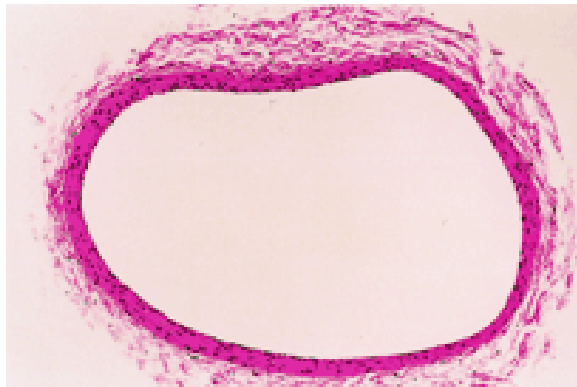
Control gene (lacZ) transfected

eNOS transfected  
**about 70% inhibition**

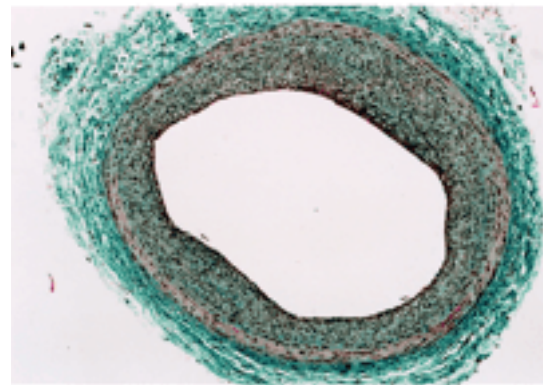
*Von der Leyen et al., PNAS,<sup>21</sup>1995*

## Gene transfer of human prostacyclin synthase prevents neointimal formation after carotid balloon injury in rats.

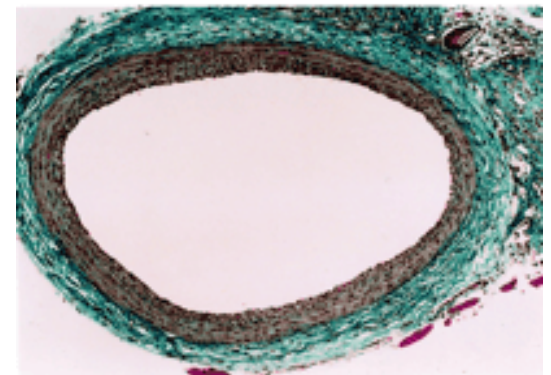
Todaka T, Yokoyama C, Yanamoto H, Hashimoto N, Nagata I, Tsukahara T, Hara S, Hatae T, Morishita R, Aoki M, Ogihara T, Kaneda Y, Tanabe T.



Control, not injured



Injured, control vector

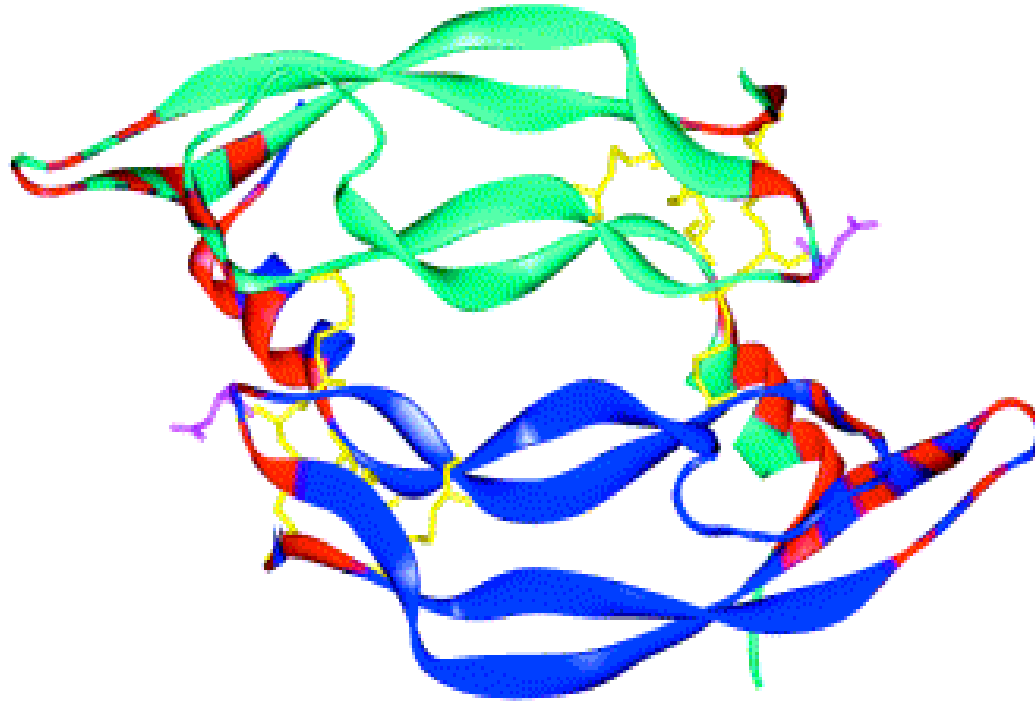


injured - PGIS transfection

# **Inhibition of neointimal hyperplasia may be achieved by transfer of:**

- endothelial NO synthase (eNOS) gene,
  - PGI synthase gene,
  - cell cycle regulators (retinoblastoma, cyclin or cyclin-dependent kinase inhibitors, p53, growth arrest homeobox gene, fas ligand)
  - antisense oligonucleotides to c-myb, c-myc, proliferating cell nuclear antigen,
  - DNA decoys for transcription factors such as nuclear factor kappaB and E2F.
- but the best candidate seemed to be VEGF**

# Vascular endothelial growth factor (VEGF)

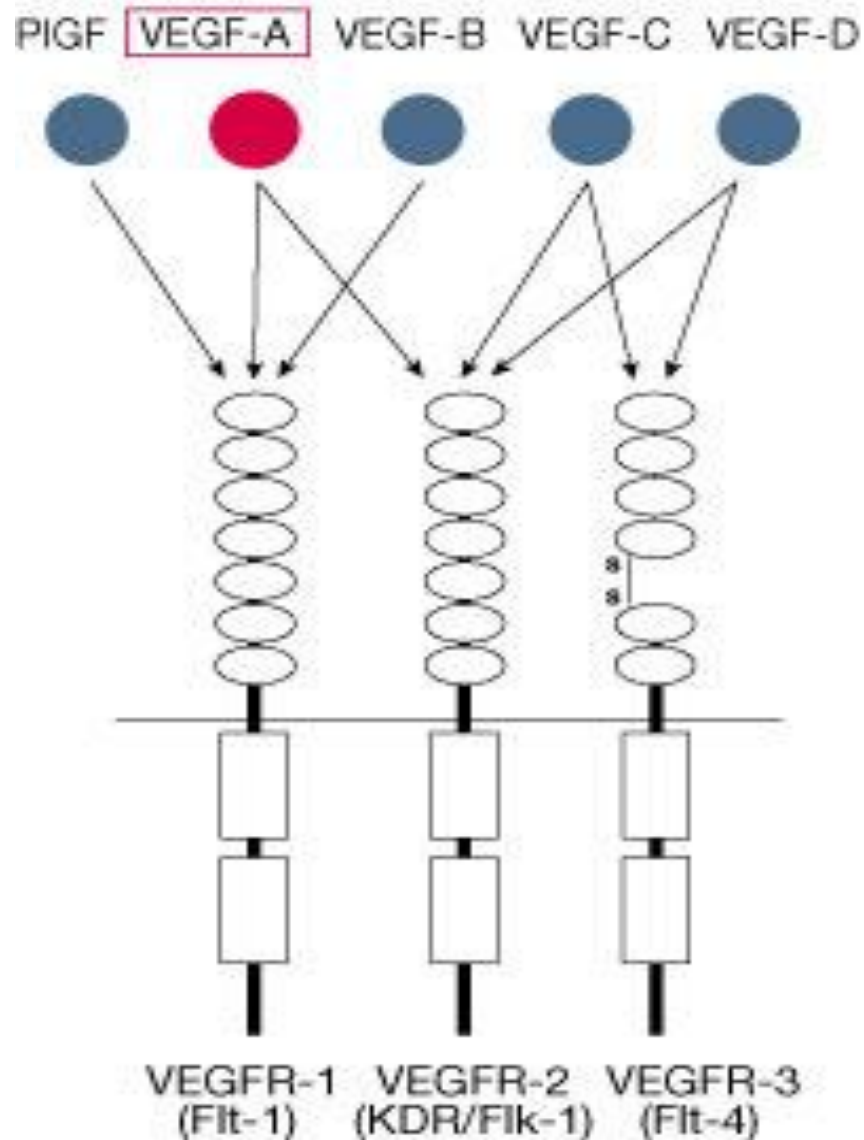


Current Opinion in Biotechnology

*Growth factor that stimulates proliferation and migration of endothelial cells, and increases their survival*

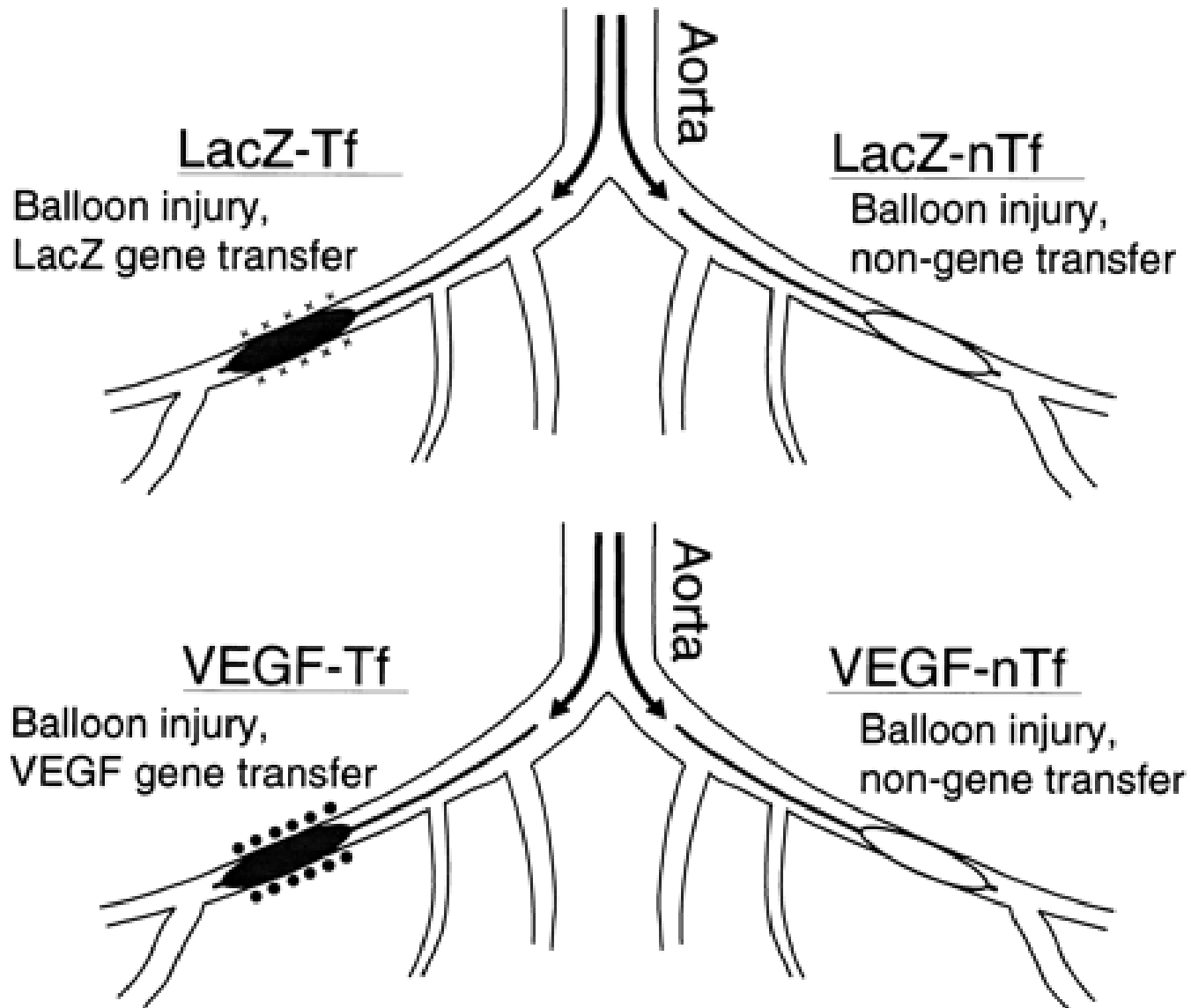


# VEGF family of growth factors

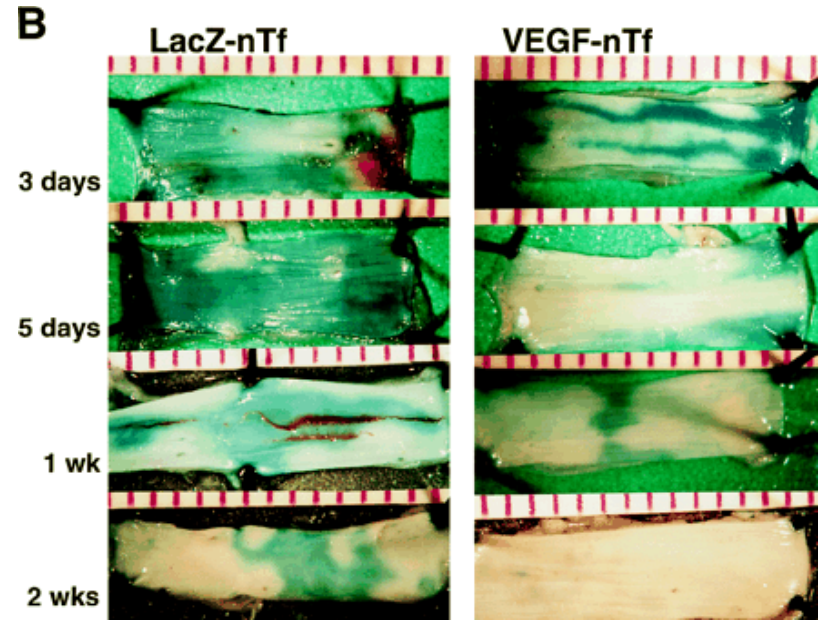
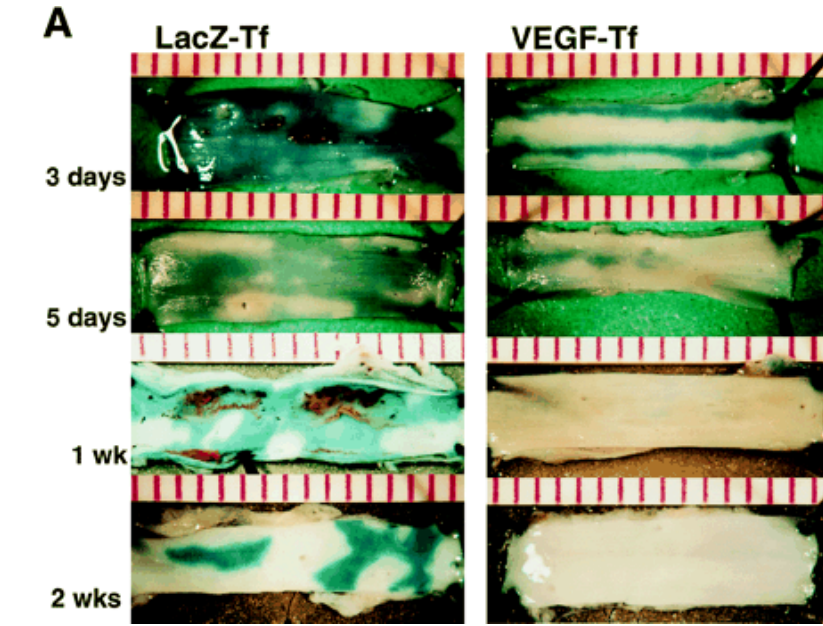


# **VEGF gene transfer for prevention of restenosis**

# Gene transfer of VEGF for inhibition of restenosis after balloon angioplasty

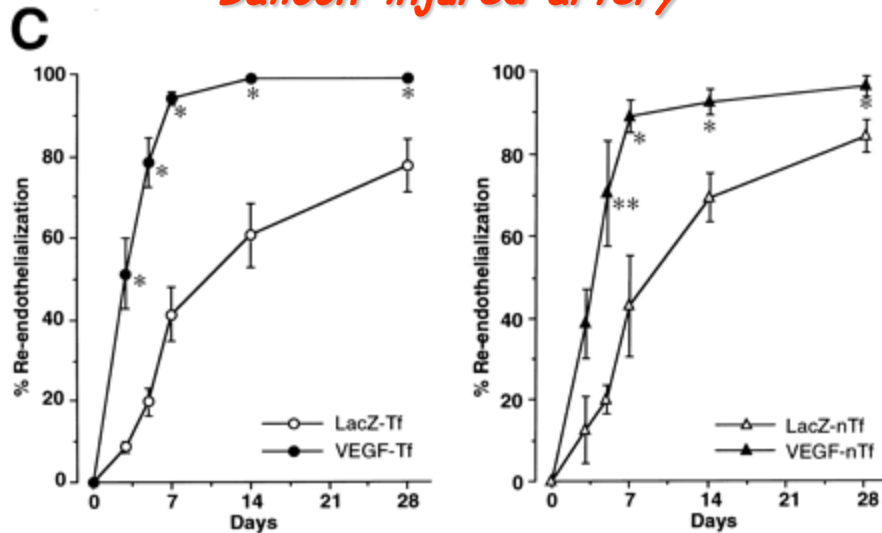


# Gene transfer of VEGF for inhibition of restenosis after balloon angioplasty



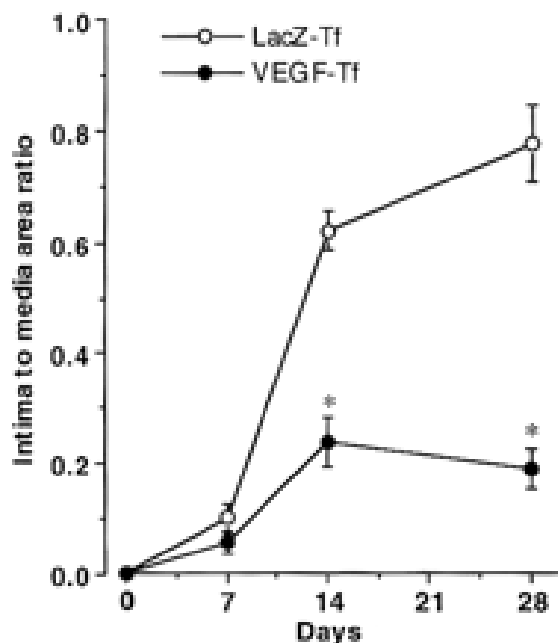
*Balloon-injured artery*

*contralateral artery*

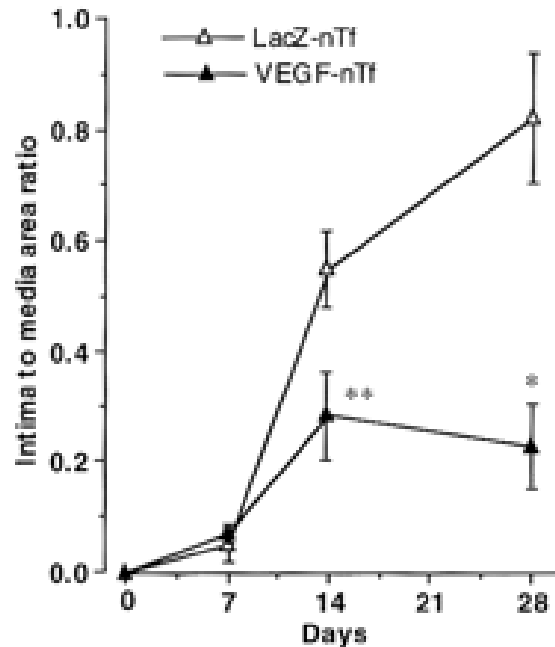


Representative macroscopic appearance of (A) balloon-injured, transfected arteries (LacZ-Tf and VEGF-Tf) and (B) contralateral balloon injured, nontransfected arteries (LacZ-nTf and VEGF-nTf) at 3 and 5 days and 1 and 2 weeks after transfection. rET area, not stained by Evans' blue dye, appears white. C, rET of injured transfected arteries (left) and injured nontransfected arteries (right). \* $P < .01$ , \*\* $P < .05$  vs LacZ-Tf or LacZ-nTf. VEGF-Tf: n=4, 4, 6, 8, and 8 at 3 and 5 days and 1, 2, and 4 weeks. VEGF-nTf: n=4, 4, 6, 8, and 8; LacZ-Tf: n=4, 4, 6, 6, and 5; and LacZ-nTf: n=3, 3, 5, 7, and 6, respectively.

# Gene transfer of VEGF for inhibition of restenosis after balloon angioplasty

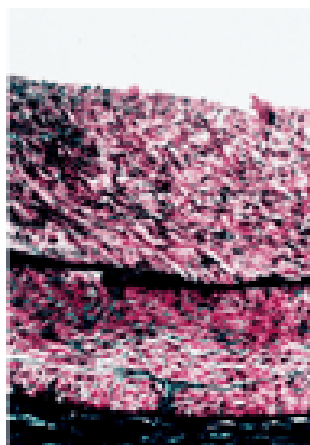


*Balloon-injured artery*

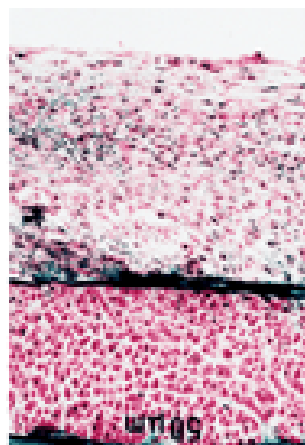


*contralateral artery*

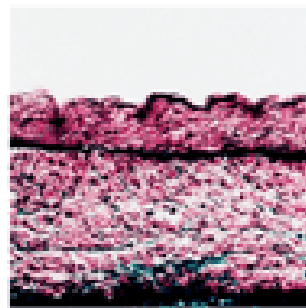
**C**



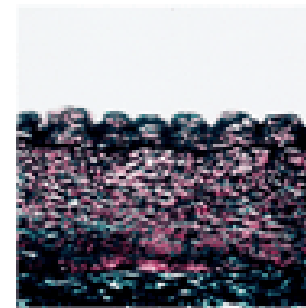
LacZ-Tf (2wks)



LacZ-Tf (4wks)



VEGF-Tf (2wks)



VEGF-Tf (4wks)

Marja Hedman, MD, PhD\*; Juha Hartikainen, MD, PhD\*; Mikko Syväne, MD, PhD, FESC; Joachim Stjernvall, MD, PhD; Antti Hedman, MD, PhD; Antti Kivelä, MD; Esko Vanninen, MD, PhD; Hanna Mussalo, MD; Esa Kauppila, MD; Sakari Simula, MD, PhD; Outi Närvänen, PhD; Arto Rantala, MD; Keijo Peuhkurinen, MD, PhD, FESC; Markku S. Nieminen, MD, PhD, FESC; Markku Laakso, MD, PhD; Seppo Ylä-Herttuala, MD, PhD, FESC

## **Safety and Feasibility of Catheter-Based Local Intracoronary Vascular Endothelial Growth Factor Gene Transfer in the Prevention of Postangioplasty and In-Stent Restenosis and in the Treatment of Chronic Myocardial Ischemia**

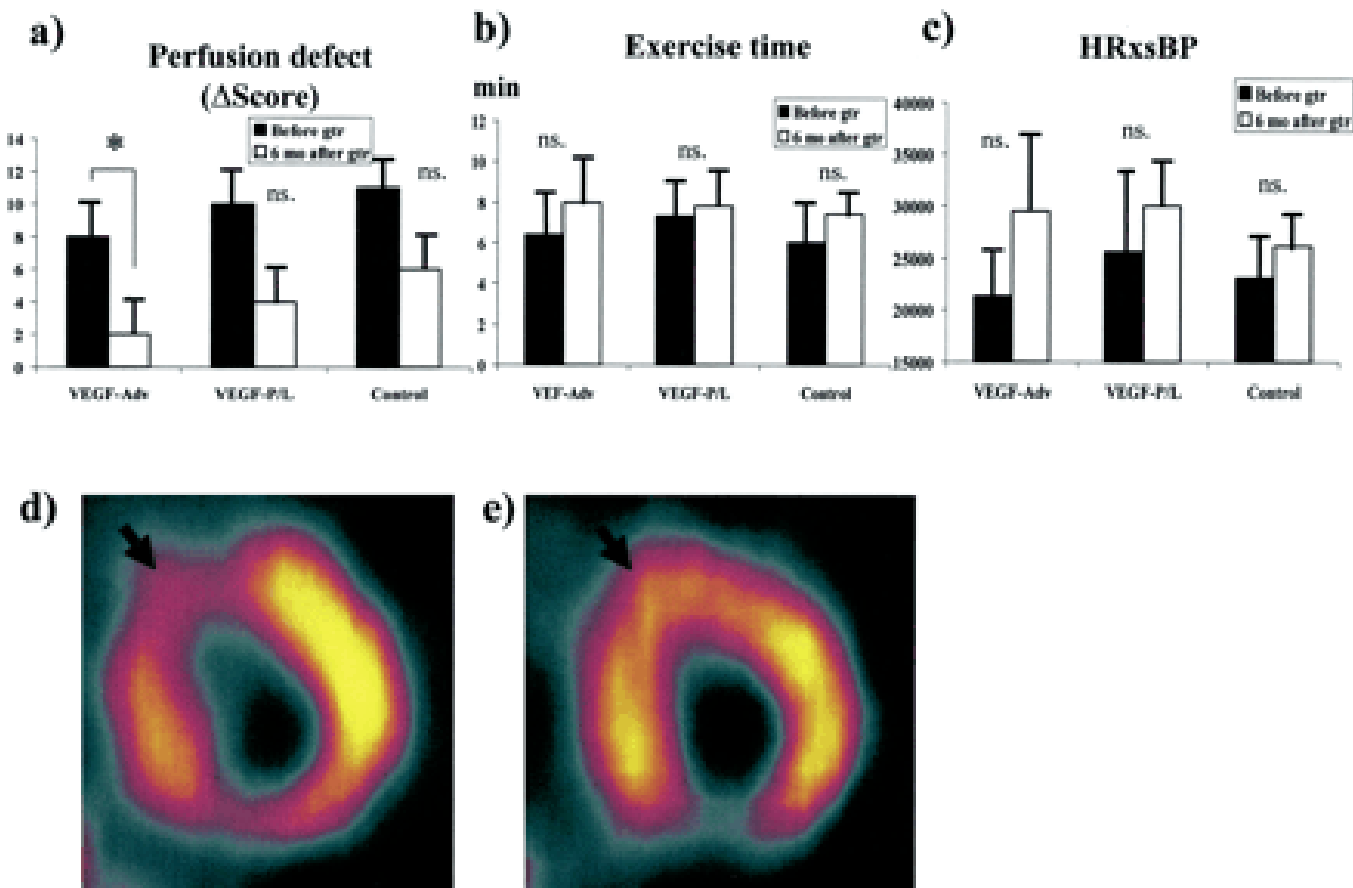
**Phase II Results of the Kuopio Angiogenesis Trial (KAT)**

*Circulation.* 2003;107:2677

### **Double-blind, randomized study**

1. Ringer saline
2. Plasmid
3. Adenoviral vectors

# KAT trial – improvement only after adenoviral delivery



Panels d and e depict 54-year old male patient with a significant stenosis in left anterior descending artery before (d) and 6 months after (e) gene transfer. Myocardial perfusion during adenosine infusion: Arrows indicate perfusion defect area. Gtr indicates gene transfer.

Currently, the treatments approaches decreased significantly the rate of restenosis

-drug -eluting stents (sirolimus/rapamycin  
paclitaxel)



# Angiogenic gene therapy

# ANGIOGENESIS

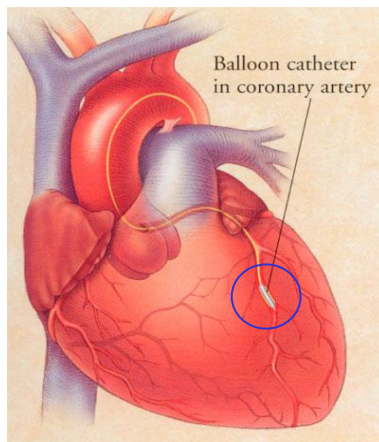
the formation of new blood vessels by a process of sprouting from preexisting ones

- activation of endothelial cells (EC)
- dissolution of the matrix underlying the endothelium
- migration, reattachment (adhesion) and proliferation of EC
- migration and proliferation of VSMC in vessels larger than capillaries
- formation of a new three-dimensional tube

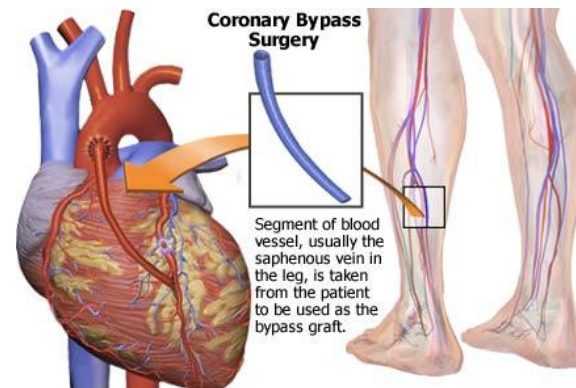
# Neovascularization vs therapeutic angiogenesis

- Therapeutic angiogenesis describes the method of improving the blood flow to the ischemic tissue by the induction of postnatal neovascularization by angiogenic agents delivery
- This form of **gene therapy**, has emerged as a method for the treatment of patients who are not candidates for more conventional methods of revascularization (PTCA, CABG) due to inoperable disease or very small blood vessels

Percutaneous Transluminal Coronary Angioplasty (PTCA)

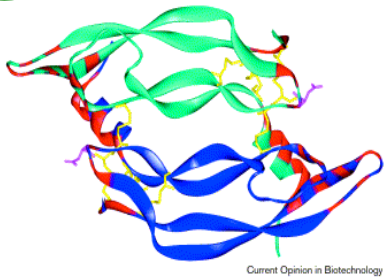


Coronary Artery Bypass Grafting (CABG)





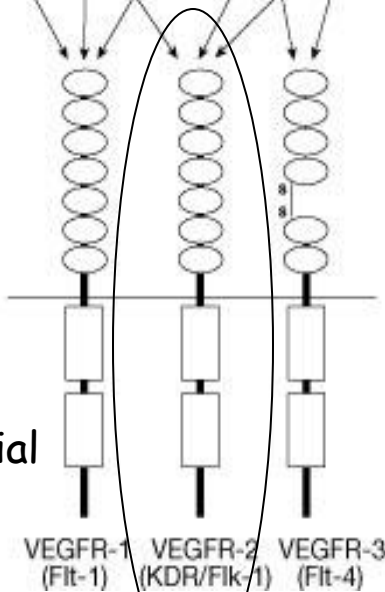
# VEGF-A - a major angiogenic mediator



Current Opinion in Biotechnology

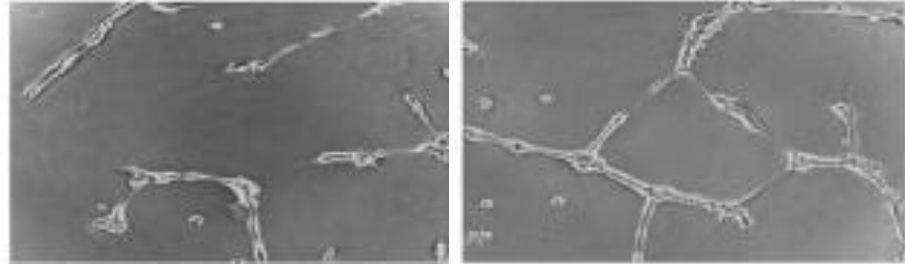


PlGF VEGF-A VEGF-B VEGF-C VEGF-D

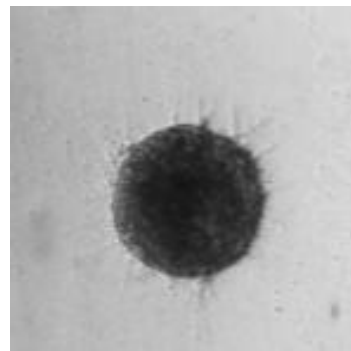


Receptors on endothelial cells

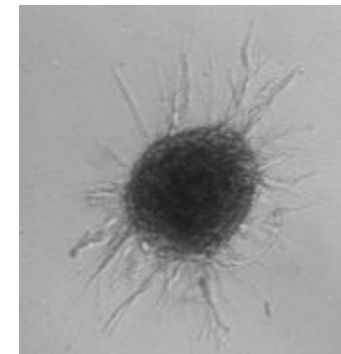
VEGFR-1 (Flt-1) VEGFR-2 (KDR/Flk-1) VEGFR-3 (Flt-4)



*Matrigel assay*



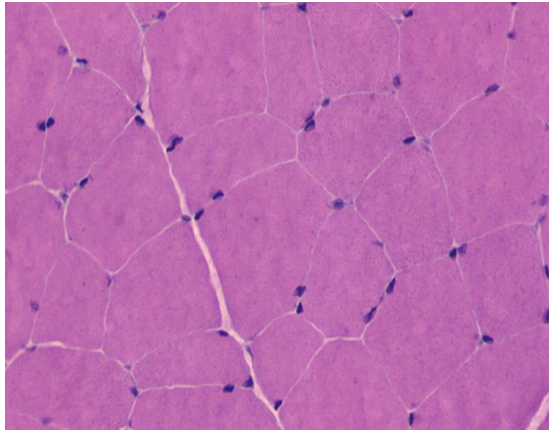
**control**



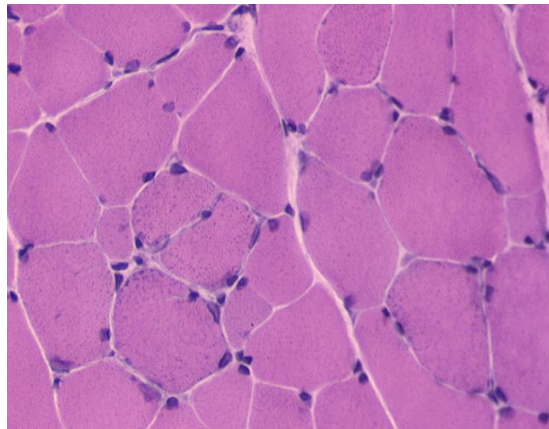
**VEGF**

*spheroid assay*

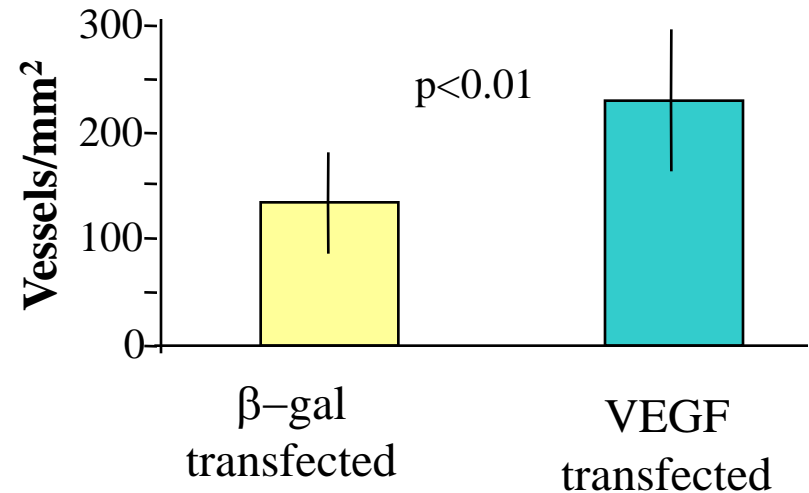
# Naked VEGF gene transfer increases the number of microvessels in the ischemic rabbit skeletal muscles



alkaline phosphatase-positive microvessels in muscle transfected with control plasmid

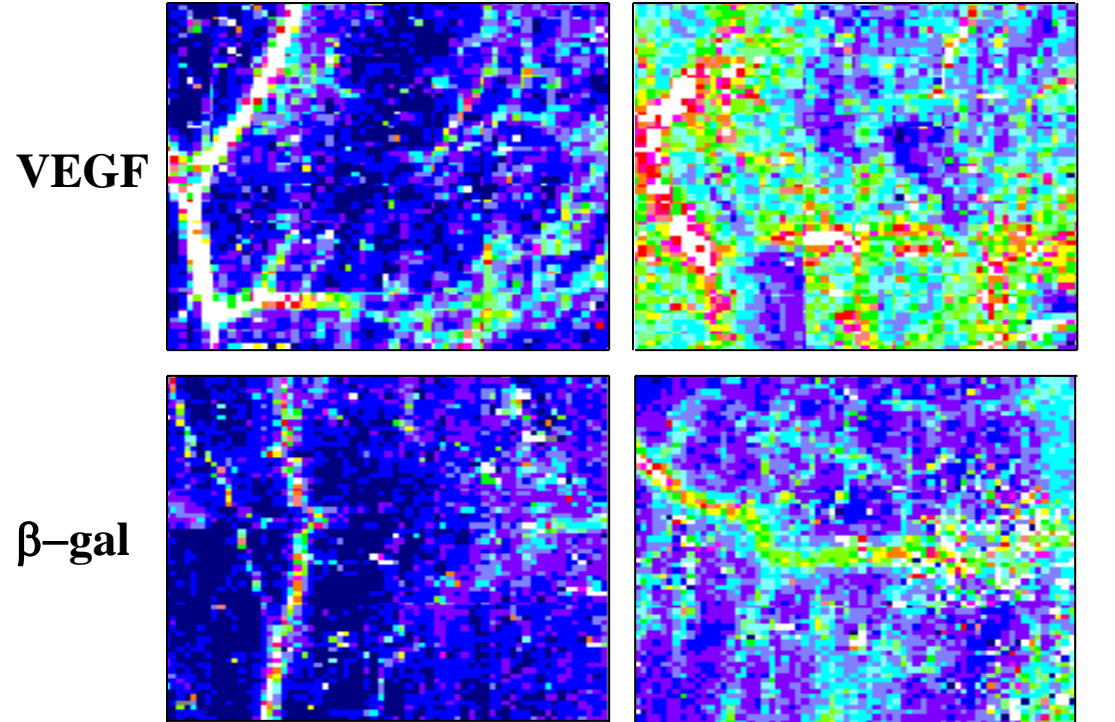
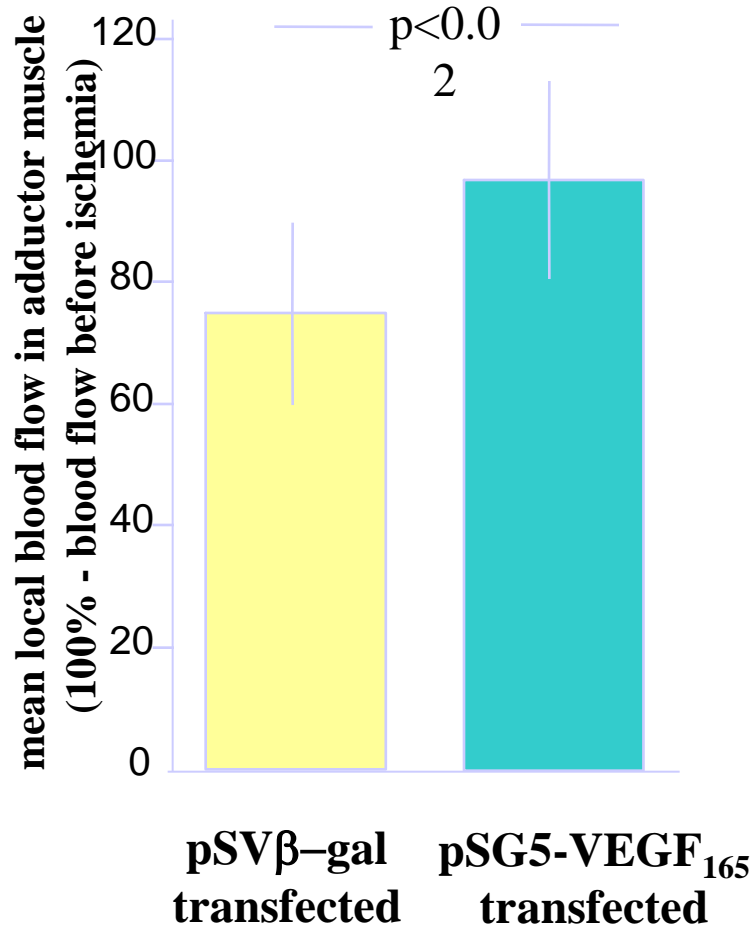


microvessels in muscle transfected with VEGF plasmid



# VEGF<sub>165</sub> gene transfer improves blood flow in the rabbit adductor muscle

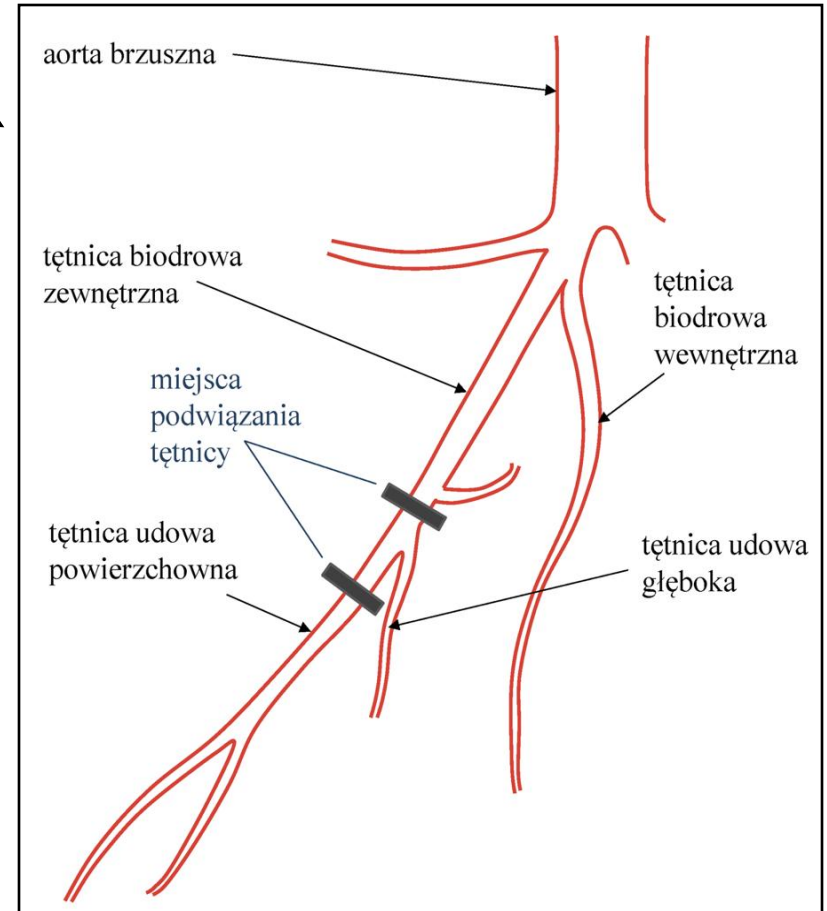
## Blood flow in ischemic adductor muscles



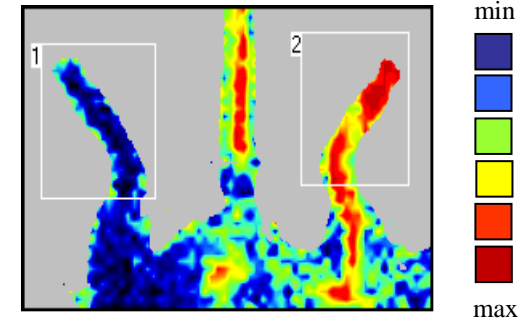
Immediately after ligation 14 days after ligation

# Mouse model of hind-limb ischemia

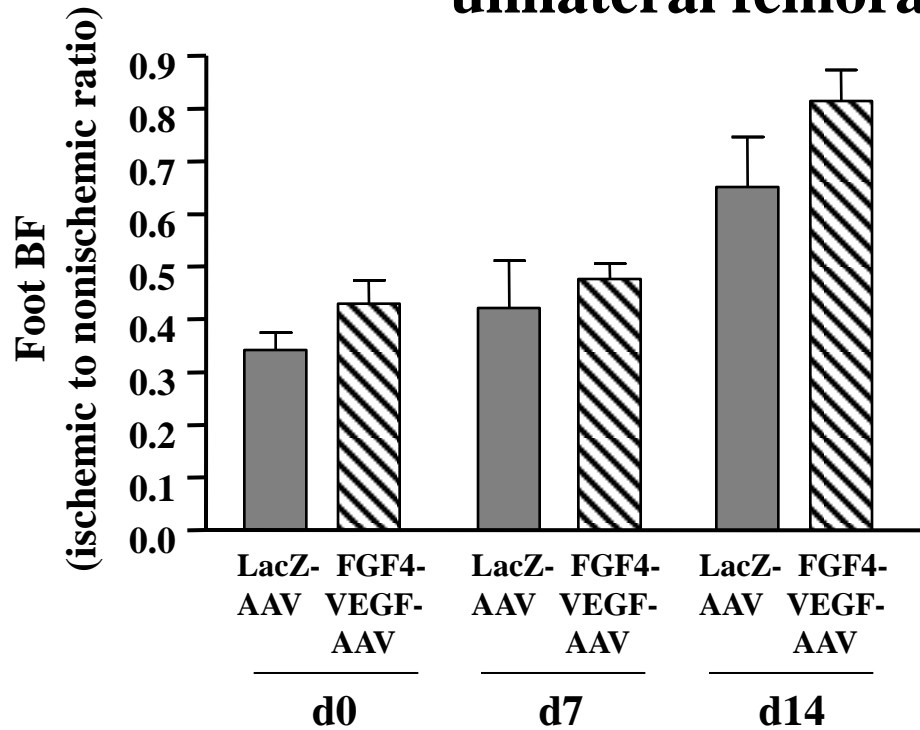
Surgical ligation of the femoral artery)



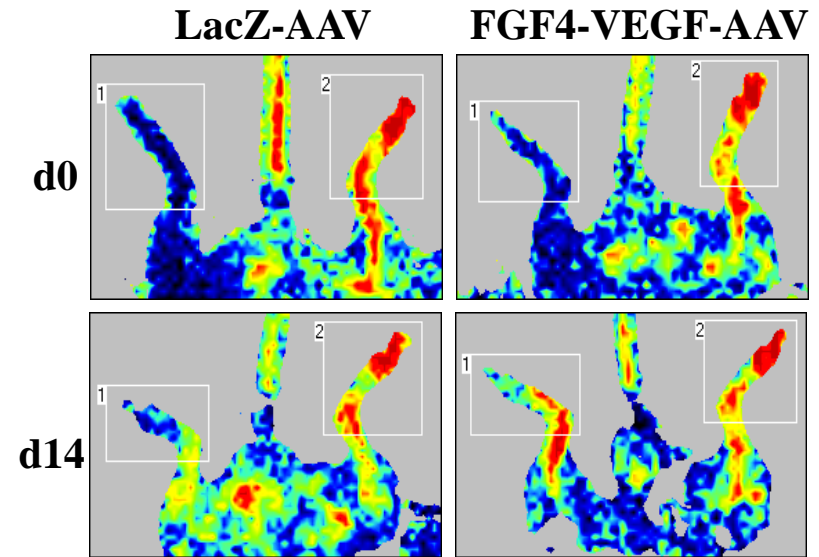
Laser Doppler perfusion measurement



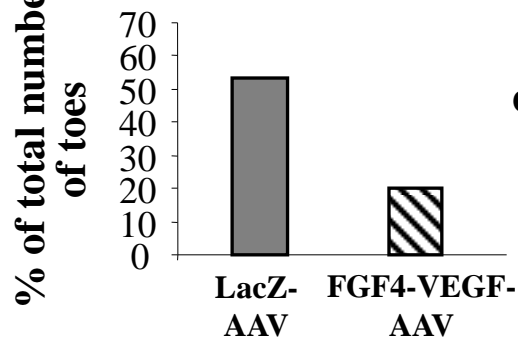
# Recovery of hind-limb blood flow in C57Bl mice after unilateral femoral artery occlusion



## Laser Doppler perfusion measurement



## Reduced number of necrotic toes



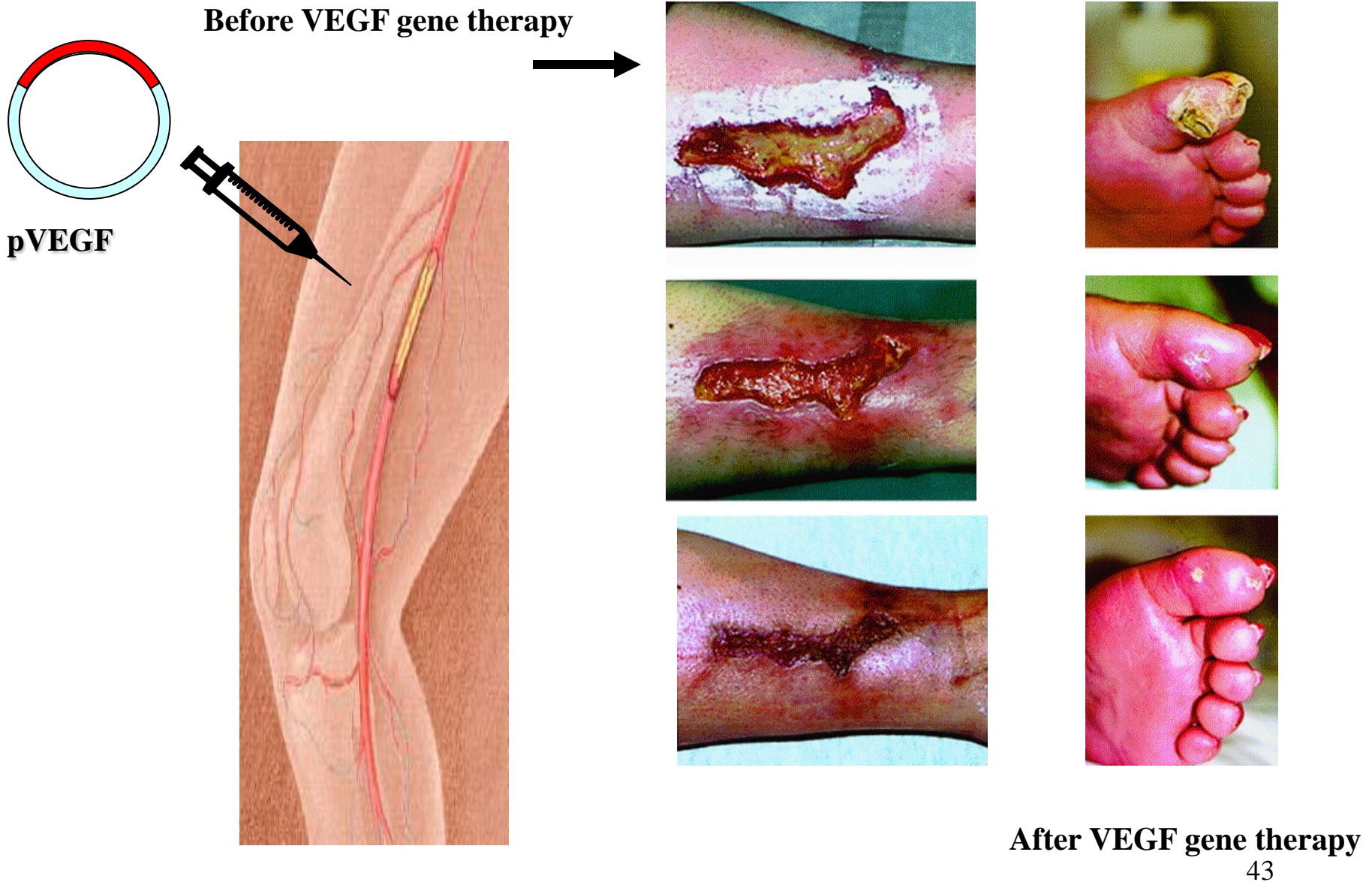


**Gene transfer of VEGF  
can stimulate the  
formation of new blood vessels  
in ischemic limbs**

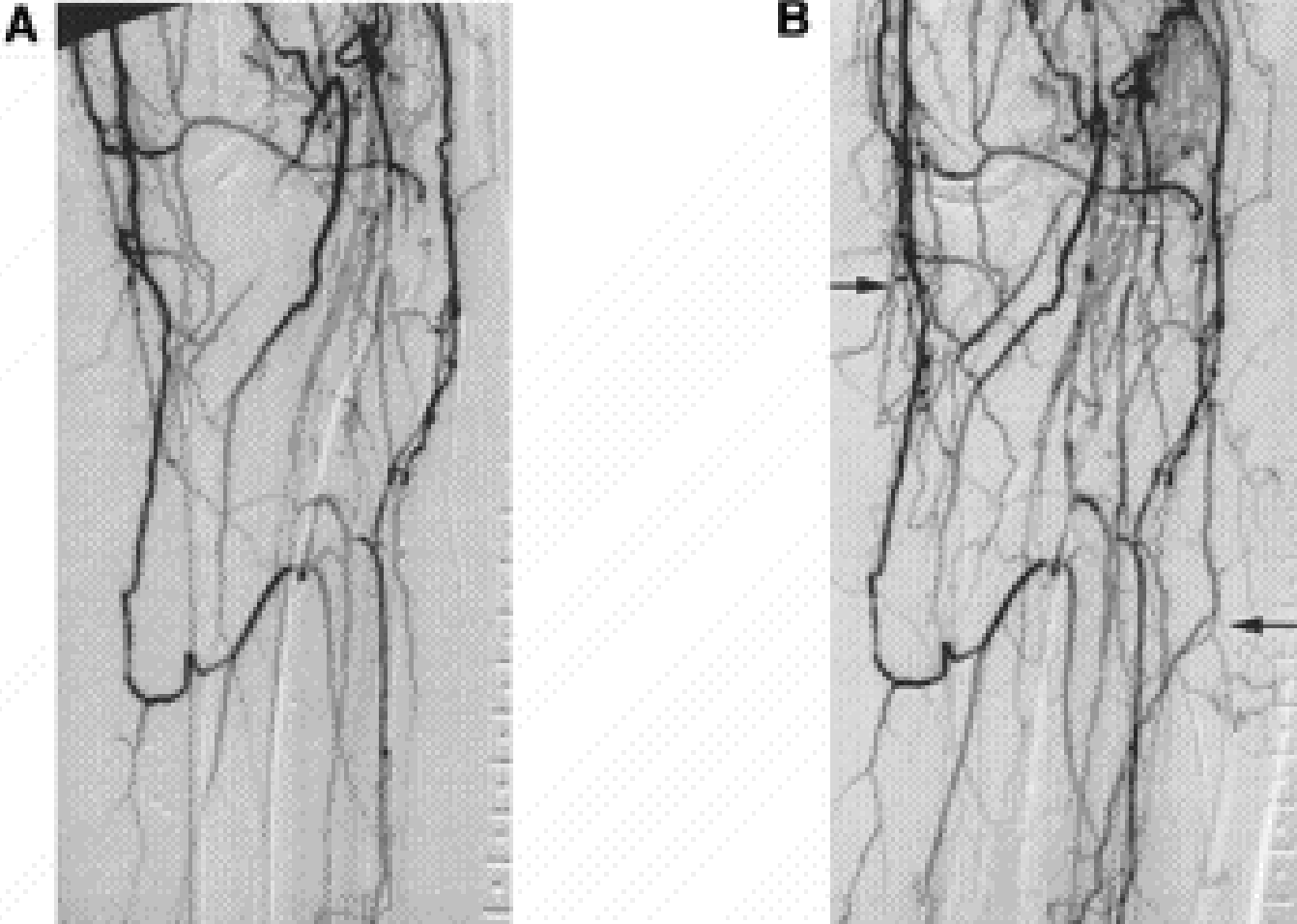
# Critical limb ischemia

**C**RITICAL LIMB ISCHEMIA (CLI) is a disease manifested by sharply diminished blood flow to the legs; it is the most common cause of nontraumatic amputation in diabetes. The condition is responsible for 70% of the 150 lower limb amputations per million population (Eskelinen *et al.*, 2004). Although a combination of neuropathy, obstructive macrovascular disease, and/or microvascular changes is usually pivotal in the development of the diabetic foot, the contribution of microvascular occlusions is predominant in the diabetic subgroup with CLI and is not accessible for surgical revascularization. Amputation is unavoidable in 0.7 per 10,000 patients with diabetes mellitus (da Silva *et al.*, 1996; Holstein *et al.*, 2000). Among CLI patients who have already had all possible surgical revascularization done, amputation is inevitable in approximately half (da Silva *et al.*, 1996; Klevsgard *et al.*, 2001). The median survival of patients with CLI is approximately 3 years (ICAI Group, 1997; Cheng *et al.*, 2000). The quality of life during this period is limited (Albers *et al.*, 1992).

# Gene transfer of VEGF in critical leg ischemia



Newly visible collateral vessels at calf level 8 weeks after phVEGF<sub>165</sub> gene transfer. Luminal diameter of newly visible vessels ranged from 200 to >800  $\mu\text{m}$  (arrow); most were closer to 200  $\mu\text{m}$ , and these frequently appeared as a blush of innumerable collaterals.



# **Clinical trials in cardiovascular gene therapy**

*Majority of studies: negative results*

Experimental studies have established the proof-of-principle that gene transfer to the cardiovascular system can achieve therapeutic effects. First human clinical trials provided initial evidence of feasibility and safety of cardiovascular gene therapy. **However, phase II/III clinical trials have so far been rather disappointing** and one of the major problems in cardiovascular gene therapy has been the inability to verify gene expression in the target tissue. New imaging techniques could significantly contribute to the development of better gene therapeutic approaches.

**Are plasmid vectors effective in stimulation of angiogenesis in ischemic myocardium?**

## CLINICAL RESEARCH

## Clinical Trial

# Direct Intramyocardial Plasmid Vascular Endothelial Growth Factor-A<sub>165</sub> Gene Therapy in Patients With Stable Severe Angina Pectoris A Randomized Double-Blind Placebo-Controlled Study: The Euroinject One Trial

Jens Kastrup, MD,\* Erik Jørgensen, MD,\* Andreas Rück, MD,† Kristina Tägil, MD,‡  
Dietmar Glogar, MD,§ Witold Ruzyllo, MD,|| Hans Erik Bøtker, MD,¶ Dariusz Dudek, MD,#  
Viktor Drvota, MD,† Birger Hesse, MD,\*\* Leif Thuesen, MD,¶ Pontus Blomberg, PhD,†  
Mariann Gyöngyösi, MD,§ Christer Sylvén, MD, FACC,† the Euroinject One Group  
*Copenhagen and Aarhus, Denmark; Vienna, Austria; Warsaw and Krakow, Poland; and Malmö and  
Stockholm, Sweden*



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<b>OBJECTIVES</b>	In the Euroinject One phase II randomized double-blind trial, therapeutic angiogenesis of percutaneous intramyocardial plasmid gene transfer of vascular endothelial growth factor (phVEGF-A <sub>165</sub> ) on myocardial perfusion, left ventricular function, and clinical symptoms was assessed.
<b>BACKGROUND</b>	Evidence for safety and treatment efficacy have been presented in phase I therapeutic angiogenesis trials.
<b>METHODS</b>	Eighty “no-option” patients with severe stable ischemic heart disease, Canadian Cardiovascular Society functional class 3 to 4, were assigned randomly to receive, via the NOGA-MyoStar system (Cordis Corp., Miami Lakes, Florida), either 0.5 mg of phVEGF-A <sub>165</sub> (n = 40) or placebo plasmid (n = 40) in the myocardial region showing stress-induced myocardial perfusion defects on <sup>99m</sup> Tc sestamibi/tetrofosmin single-photon emission computed tomography.
<b>RESULTS</b>	No differences among the groups were recorded at baseline with respect to clinical, perfusion, and wall motion characteristics. After three months, myocardial stress perfusion defects did not differ significantly between the VEGF gene transfer and placebo groups (38 ± 3% and 44 ± 2%, respectively). Similarly, semiquantitative analysis of the change in perfusion in the treated region of interest did not differ significantly between the two groups. Compared with placebo, VEGF gene transfer improved the local wall motion disturbances, assessed both by NOGA (p = 0.04) and contrast ventriculography (p = 0.03). Canadian Cardiovascular Society functional class classification of angina pectoris improved significantly in both groups but without difference between the groups. No phVEGF-A <sub>165</sub> -related adverse events were observed; however, NOGA procedure-related adverse events occurred in five patients.
<b>CONCLUSIONS</b>	<u>The VEGF gene transfer did not significantly improve stress-induced myocardial perfusion abnormalities compared with placebo; however, improved regional wall motion, as assessed both by NOGA and by ventriculography, may indicate a favorable anti-ischemic effect. This result should encourage more studies within the field. Transient VEGF overexpression seems to be safe. (J Am Coll Cardiol 2005;45:982–8) © 2005 by the American College of Cardiology Foundation</u>

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# VEGF increased both after plasmid and placebo...

Plasma VEGF-A, C-reactive protein, and circulating CD34<sup>+</sup> stem cells. Plasma VEGF-A increased in both groups after treatment, reaching a peak value after one week (VEGF, from  $69 \pm 14$  ng/l to  $140 \pm 30$  ng/l,  $p < 0.001$ ; placebo, from  $70 \pm 20$  ng/l to  $140 \pm 42$  ng/l,  $p < 0.001$ ), but without a difference between the groups. CD34<sup>+</sup> stem cells tended to be increased in the VEGF group three weeks after treatment (VEGF, from  $2.8 \pm 0.4$  cells/ $10^6$ /l to  $4.3 \pm$

$0.6$  cells/ $10^6$ /l,  $p = 0.07$ ; placebo, from  $3.2 \pm 0.6$  cells/ $10^6$ /l to  $3.7 \pm 0.4$  cells/ $10^6$ /l,  $p = 0.25$ ), with no difference between the groups. C-reactive protein increased in the VEGF gene transfer group 24 h after treatment (VEGF, from  $6.4 \pm 1.2$  mg/l to  $8.5 \pm 2.0$  mg/l,  $p = 0.03$ ; placebo, from  $6.2 \pm 1.1$  mg/l to  $7.8 \pm 1.9$  mg/l,  $p = 0.98$ ) with no difference between the groups.

# Cardiovascular gene therapy

## Gene therapy of atherosclerosis

## Other diseases

### Pro-angiogenic

*VEGF-A, -C, HIF-1, FGF-2, FGF-4, Ang 1, PDGF-BB, GM-CSF, eNOS, HO-1, Egr-1, Prox-1*

### Inhibition of restenosis

*VEGF-A, -C, Ang 1, eNOS, iNOS, Decoys, Antisense, Rb, Gax,*

### Hypercholesterolemia

**apoE**  
**LDL-R**

### Hypertension

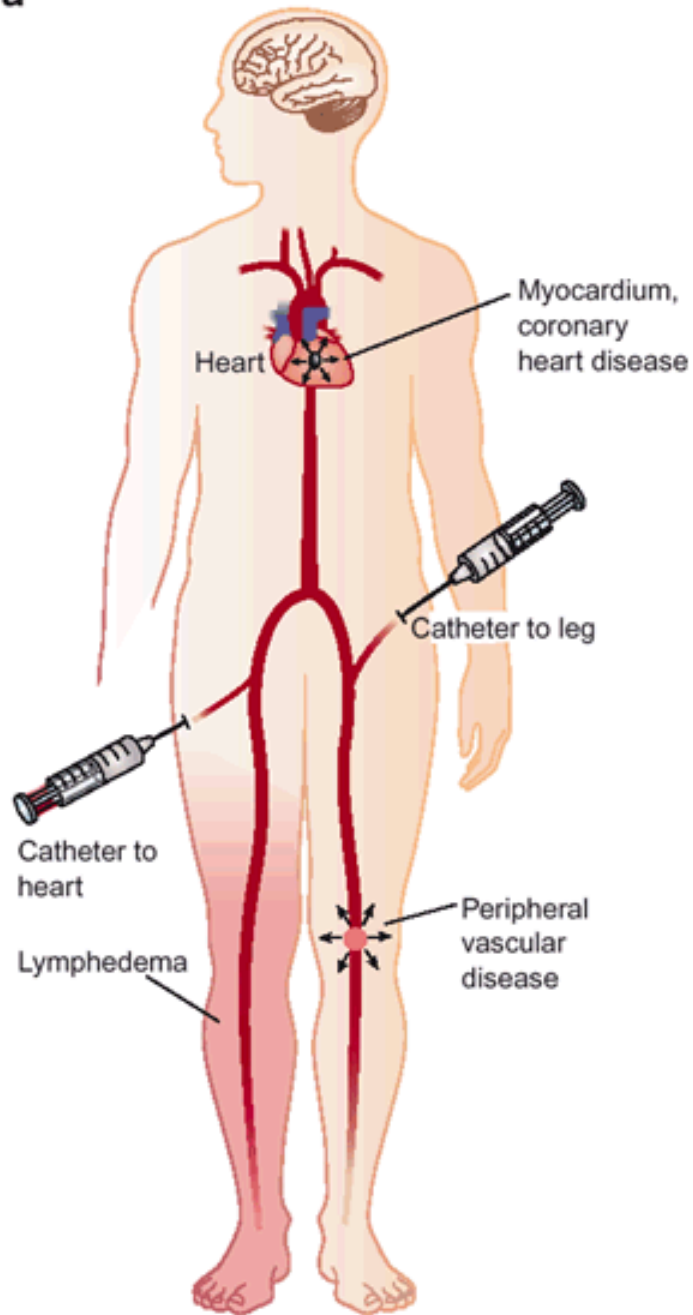
*eNOS, SOD, Tissue kallikrein*

### Heart failure

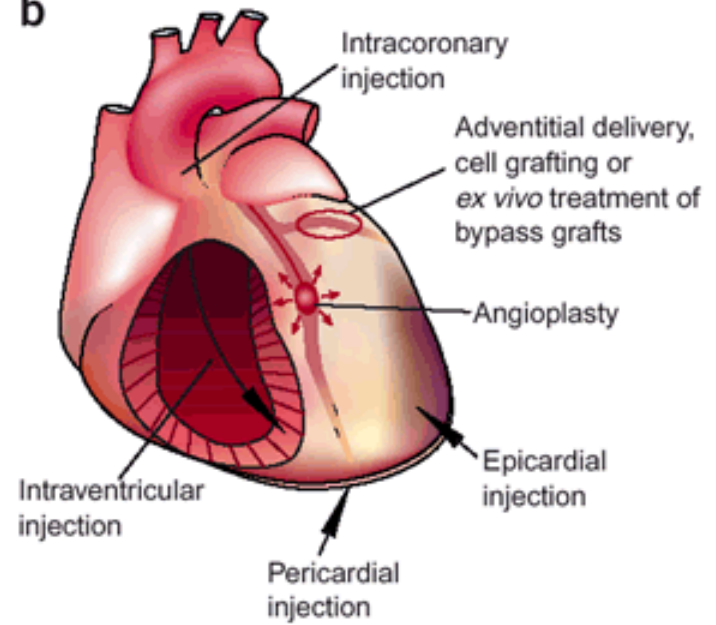
### Cardiomyopathy

*Akt, Bcl-2,  $\beta$ -ARK1,  $\beta$ -AR, V2, SERCA2a, Fosfolamban, HIF-1, VEGF, FGF-4, katalaza*

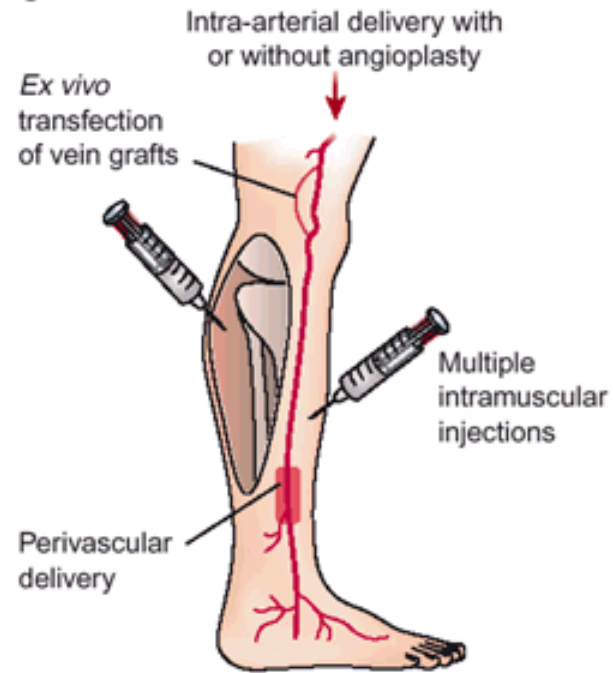
a



b



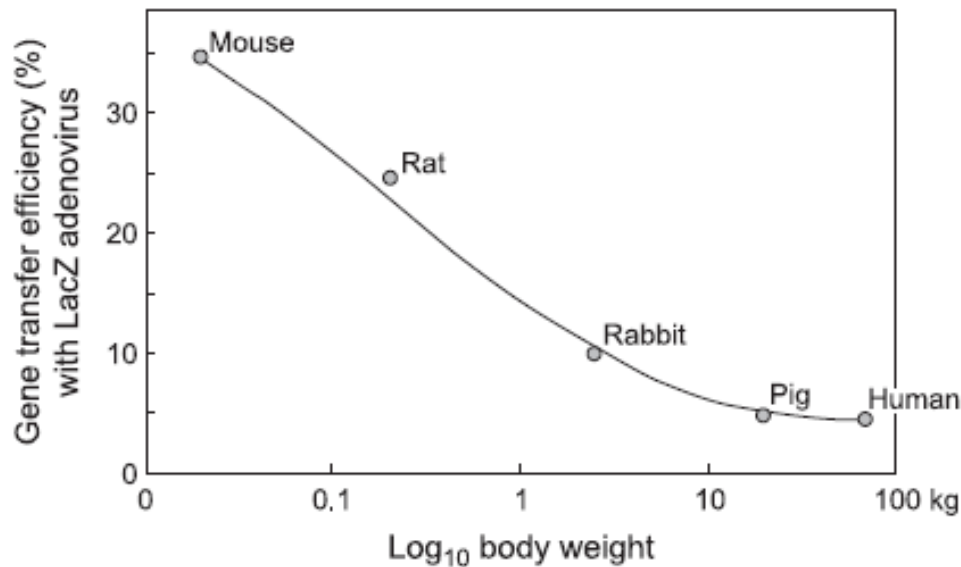
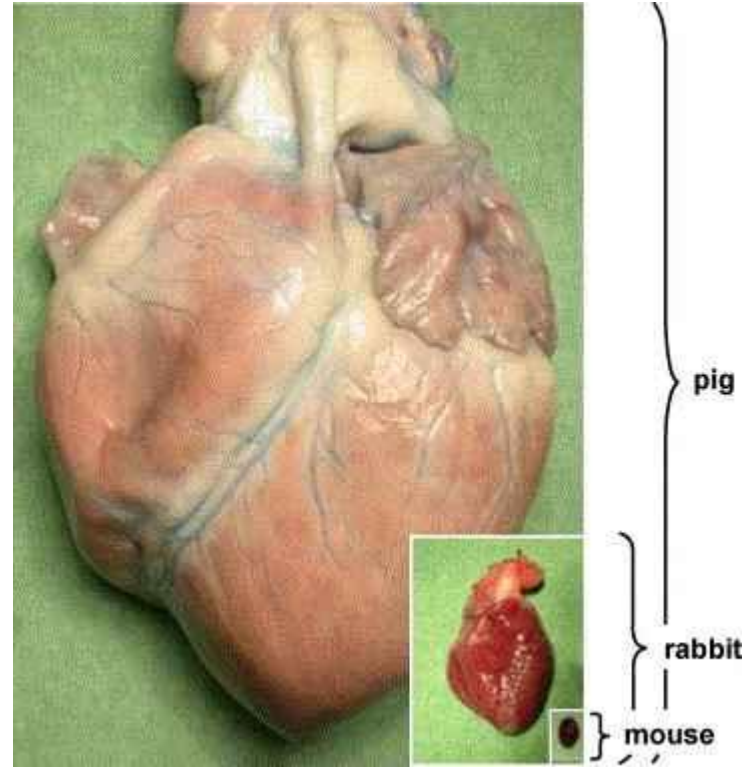
c



Debbie Maizels

**Why clinical trials of pro-angiogenic  
gene therapy are not effective?**

# Efficiency of gene transfer is inversely proportional to the size of animal...



## Lessons from preclinical animal models

When transducing skeletal muscle with adenoviruses expressing VEGFs, transduced cells are not only myocytes but also fibroblasts located around muscle fibres [6]. Therefore a secreted factor is needed for a therapeutic effect on muscle capillaries and other vessels. Many preclinical studies have been performed in small animal models where transduction is much more efficient than can be achieved in larger animals or human [1]. Whereas vectors may be effective in small mouse muscles, they may not effectively spread over larger muscle volumes in humans [1,17]. Also, doses used in small animals have been very high. For example,  $10^{11}$  viral particles in a mouse would correspond to  $3 \times 10^{14}$  viral particles in a human [18]. A 28G needle causes a  $\sim 500 \mu\text{m}$  wide needle track in mouse muscles where damaged myocytes and inflammatory cells will become a confounding source of cytokines and growth factors. This needle track is  $\sim 20\%$  of the total width of the left ventricle in mouse heart, but only  $1/200$  of the width of the human myocardium [18]. Gene therapy results from small animal models are easily overinterpreted regarding their applicability to the human clinical situation. It is obviously easier to transduce the entire muscle in the mouse than human muscle masses hundreds of times larger. Therefore further development in the transduction efficiency in humans is needed before we can expect better clinical results.

**Is there a risk of side-effects  
of VEGF overexpression?**



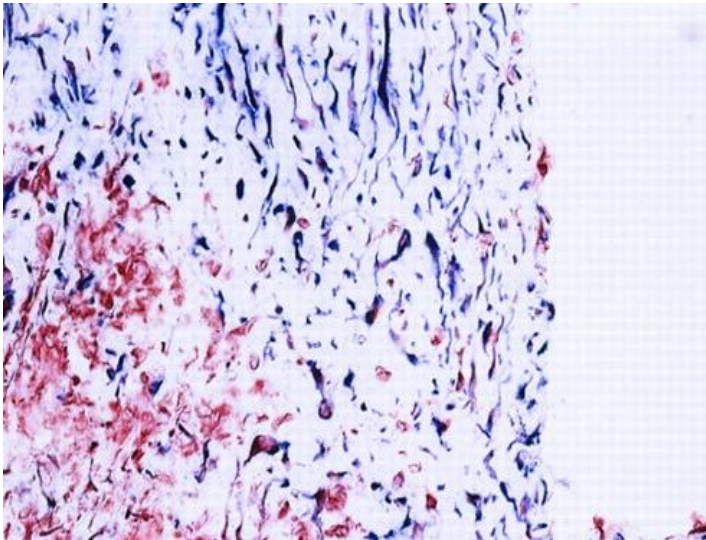
# Vascular endothelial growth factor

- a very potent angiogenic molecule
- specific mitogen for endothelial cells
- gene transfer of VEGF cDNA or delivery of VEGF protein has been demonstrated to be beneficial in animals studies aimed at:
  - inhibition of restenosis (secondary narrowing of the lumen of the vessel)
  - stimulation of angiogenesis

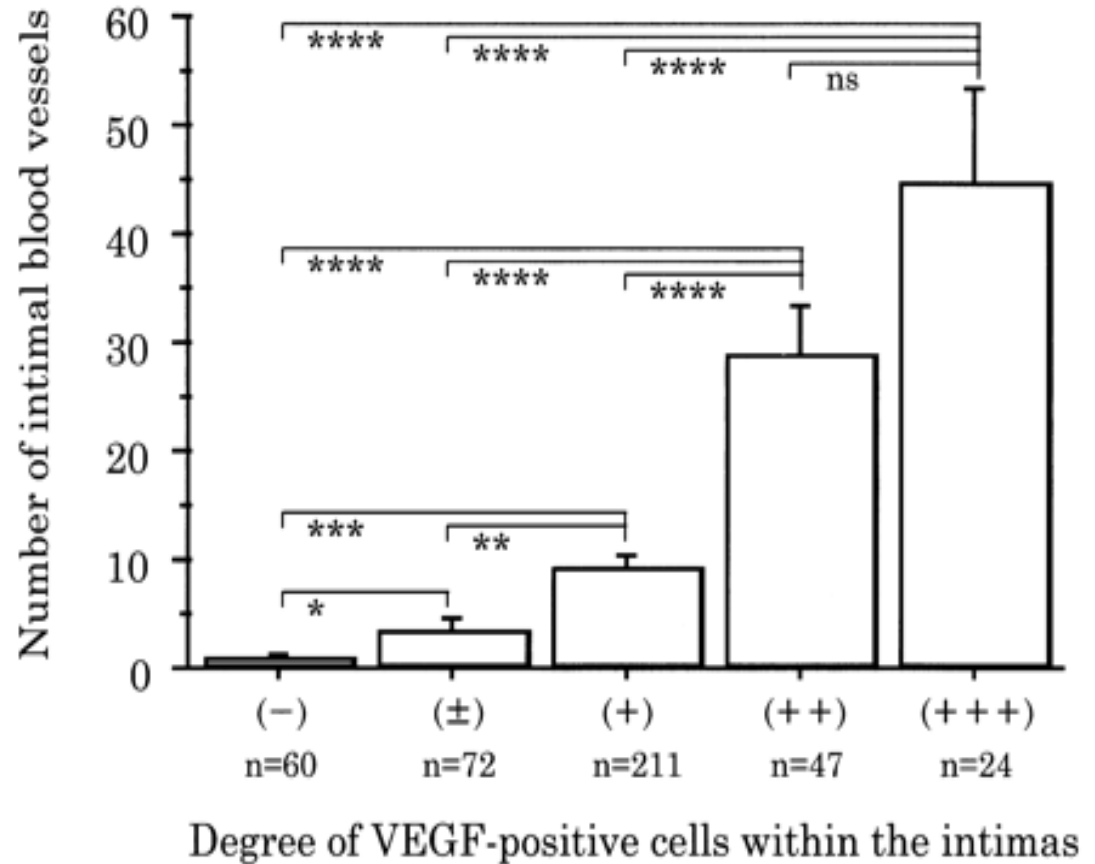
*but*

Is there a need to enhance VEGF  
production/activity  
in atherosclerosis?

# VEGF in human atherosclerotic lesions

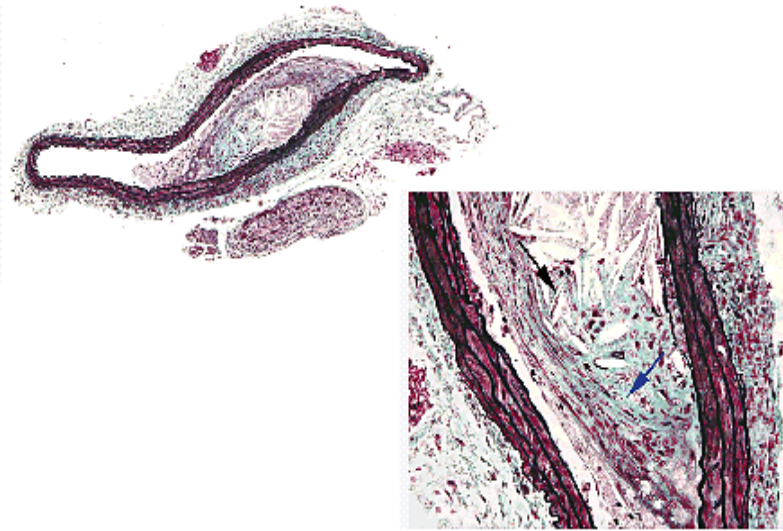


*Inoue et al, Circulation, 1998*

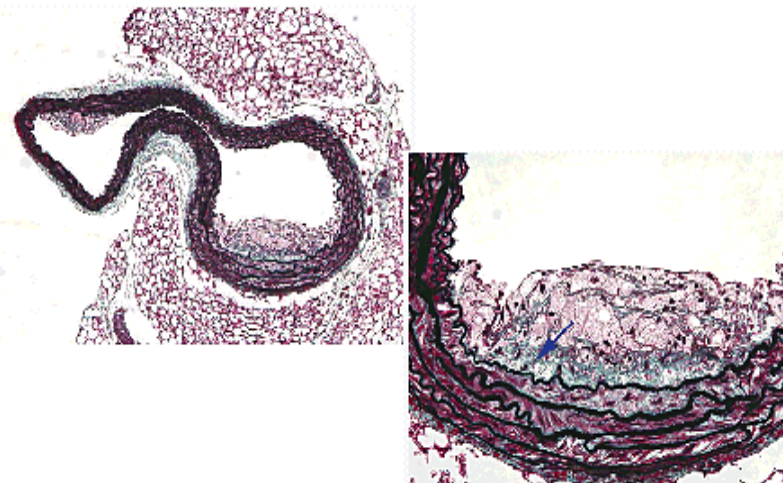


58  
*Chen et al., ATVB, 1999*

# VEGF enhances atherosclerotic plaque progression



*Thoracic aorta of ApoE<sup>-/-</sup> mice treated with VEGF*



*Thoracic aorta of ApoE<sup>-/-</sup> mice treated with albumin*

*Celletti FA et al, Nature Med, 2001*

Plaque macrophage content also increased disproportionately over controls.

The effect dependent on VEGFR1 on macrophages (?)

Promotion of neointimal formation by acting as pro-inflammatory cytokine (?)

## Conclusions (2)

1. Angiogenic gene therapy demonstrated its feasibility in numerous animal models
2. Several clinical trials have been performed in humans
3. Initially, the clinical trials demonstrated some improvements in limited number of patients
4. Large randomized trials did not show any particular long-term benefit in patients with ischemic heart disease or hind limb ischemia
5. No serious side effects have been observed in patients taking part in gene therapy trials of cardiovascular diseases; however, the potential risk of aggravations of the diseases has been suggested by several animal studies